

Integrability in AdS/CFT

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Plan

- Lecture 1. Perturbative integrability
- Lecture 2. Nonperturbative integrability: Smatrix
- Lecture 3. Finite-size effects

Ref: N. Beisert et.al. "Review of AdS/CFT Integrability" arXiv:1012.3982-4005

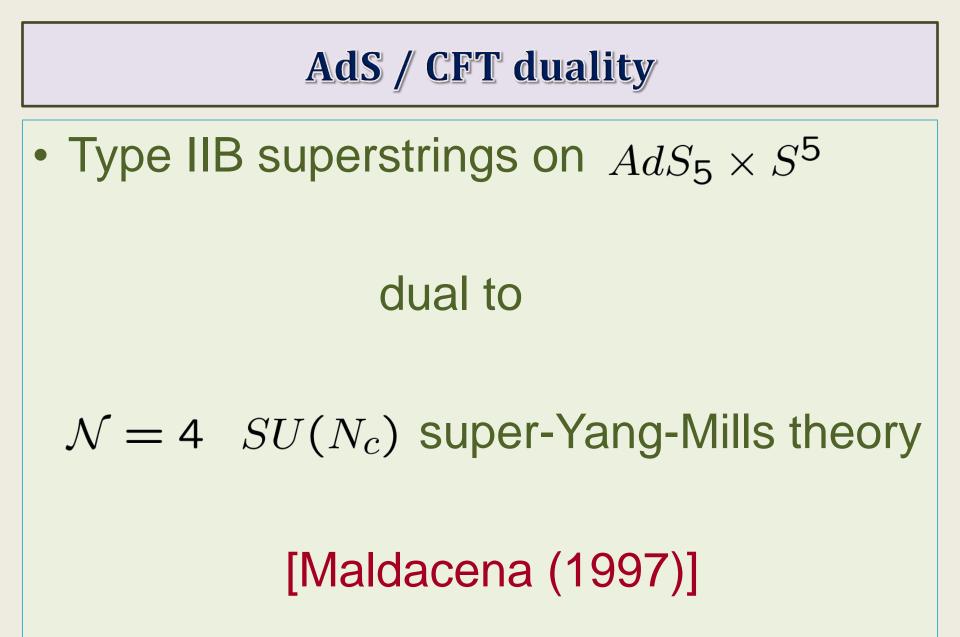
Lecture 1. Perturbative integrability

Plan

1. Introduction to AdS/CFT

2. Perturbative integrability in N=4 SYM

3. Classical integrability in string theory on $AdS_5 \times S^5$



AdS / CFT duality

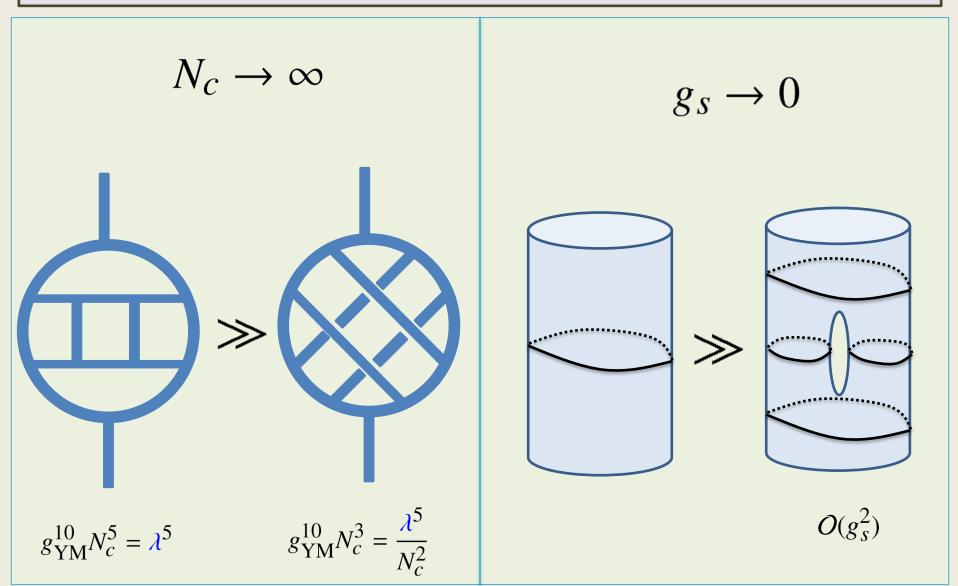
• Parameter relations:

$$g_s = \frac{4\pi\lambda}{N_c} \& \frac{R^2}{\alpha'} = \sqrt{\lambda}$$

with 't Hooft coupling $\lambda = N_c g_{YM}^2$

- Free superstring theory corresponds to a planar limit of SYM $g_s \rightarrow 0 \equiv N_c \rightarrow \infty$ with fixed λ
- Quantitative check is tricky since it is a strong-weak duality
 - SYM perturbation for $\lambda \ll 1$
 - String perturbation for $\alpha' \ll 1 \implies \lambda \gg 1$

Planar Limit



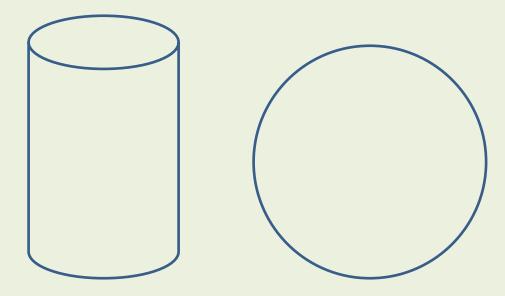
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SYM Operator vs. string configuration

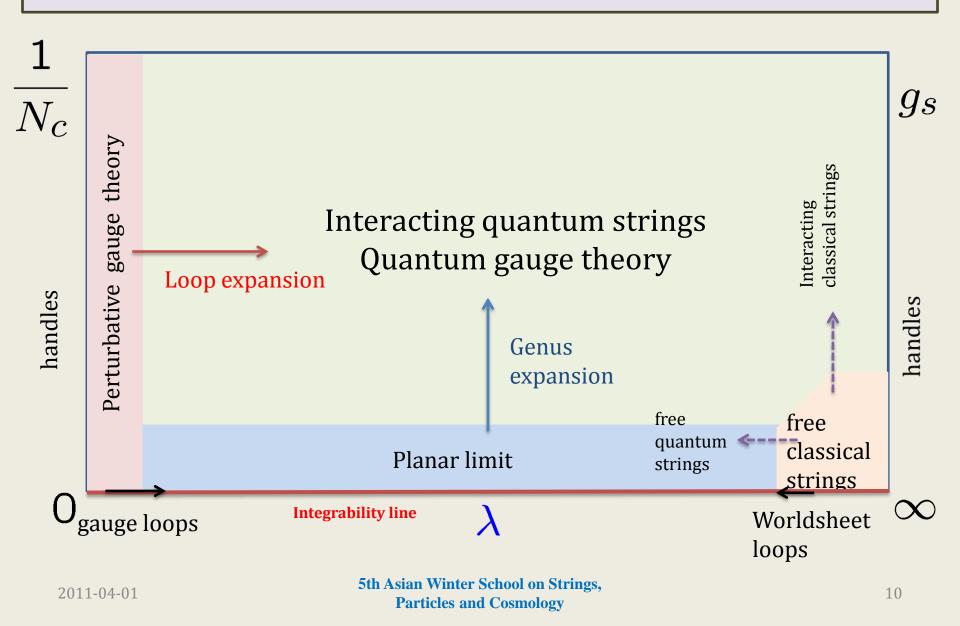
Composite SYM operator

$$O(x) = \operatorname{Tr}\left[XYZF_{\mu\nu}\chi^{\alpha}(D_{\mu}Y)\dots\right]$$

String configuration in a target space



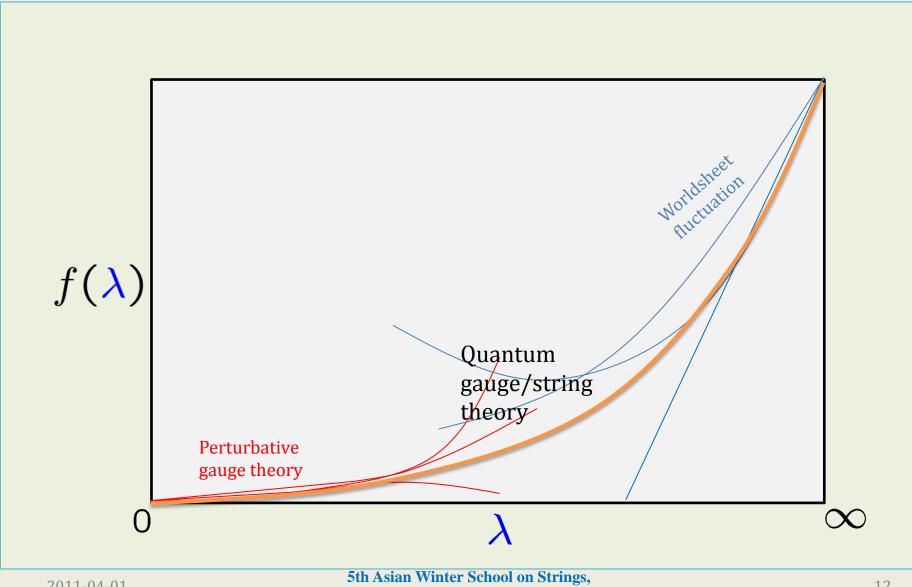
Parameter space



Integrability

- Appears in the planar limit
- Perturbative integrability
 - Certain integrable models appear in perturbative computations
 - Classical string solutions from some classical integrable systems
- Nonperturbative integrability
 - Exact results for any value of λ
- Only a few physical quantities are exactly computable so far
 - Anomalous dimensions
 - Worldsheet S-matrix

Nonperturbative



Perturbative integrability in N=4 SYM

•
$$\mathcal{N} = 4 \ S U(N_c) \ SYM$$

$$S = \frac{\mathrm{Tr}}{g_{\mathrm{YM}}^2} \int d^4x \left\{ -\frac{1}{4} F_{\mu\nu}^2 + (D_\mu \Phi^a)^2 + \left[\Phi^a, \Phi^b \right]^2 + \bar{\chi} \mathcal{D}\chi - i\bar{\chi}\Gamma_a[\Phi^a, \chi] \right\}$$

- R-symmetry : N=4 SUSY so(6) \cong su(4)
- Scalar fields : Φ^a , $a = 1, \dots, 6$
- Gauginos : χ , $\overline{\chi}$ fundamental in su(4)
- All in adjoint rep. in $SU(N_c)$

R-charge

 $4 \oplus \overline{4}$

 A_{μ}

 Φ^a

 $\overline{\chi}_{\bar{\alpha}}^{\bar{A}}$

 χ^A_{lpha}

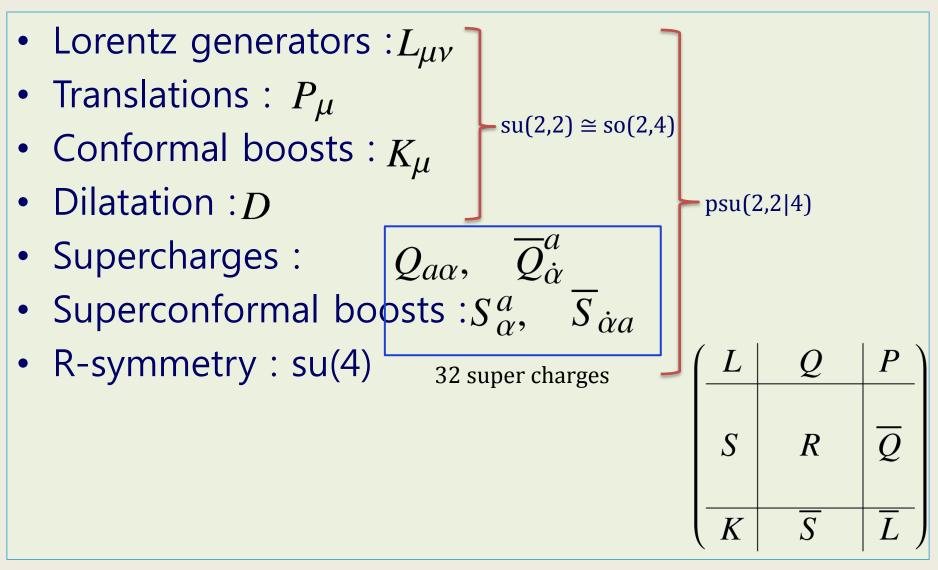
4d conformal field theory

• One-loop β -function $\beta \equiv \mu \frac{\partial g_{\rm YM}}{\partial \mu} = -\frac{g_{\rm YM}^3}{16\pi^2} \left(\frac{11}{3} N_c - \frac{1}{6} \sum_i C_i - \frac{1}{3} \sum_j \tilde{C}_j \right) = 0$

• $\beta = 0$ at all orders of perturbation

- Three loops in superspace formulation
- All loops in light-cone gauge
- No scale dependence

N=4 superconformal algebra



• psu(2,2|4) commutation relations

$$\begin{split} \begin{bmatrix} D, P_{\mu} \end{bmatrix} &= -iP_{\mu}, \qquad \begin{bmatrix} D, L_{\mu\nu} \end{bmatrix} = 0, \qquad \begin{bmatrix} D, K_{\mu} \end{bmatrix} = iK_{\mu} \\ \begin{bmatrix} D, Q_{\alpha a} \end{bmatrix} &= -\frac{i}{2}Q_{\alpha a}, \qquad \begin{bmatrix} D, \overline{Q}_{\dot{\alpha}}^{a} \end{bmatrix} = -\frac{i}{2}\overline{Q}_{\dot{\alpha}}^{a}, \qquad \begin{bmatrix} D, S_{\alpha}^{a} \end{bmatrix} = \frac{i}{2}S_{\alpha}^{a}, \qquad \begin{bmatrix} D, \overline{S}_{\dot{\alpha}a} \end{bmatrix} = \frac{i}{2}\overline{S}_{\dot{\alpha}a} \\ \begin{bmatrix} L_{\mu\nu}, P_{\lambda} \end{bmatrix} &= -i(\eta_{\mu\lambda}P_{\nu} - \eta_{\lambda\nu}P_{\mu}), \qquad \begin{bmatrix} L_{\mu\nu}, K_{\lambda} \end{bmatrix} = -i(\eta_{\mu\lambda}K_{\nu} - \eta_{\lambda\nu}K_{\mu}) \\ \begin{bmatrix} P_{\mu}, K_{\nu} \end{bmatrix} = 2i(L_{\mu\nu} - \eta_{\mu\nu}D) \\ \left\{ Q_{\alpha a}, \overline{Q}_{\dot{\alpha}}^{b} \right\} = \gamma_{\alpha\dot{\alpha}}^{\mu}\delta_{a}^{b}P_{\mu}, \qquad \{Q_{\alpha a}, Q_{\alpha b}\} = \left\{ \overline{Q}_{\dot{\alpha}}^{a}, \overline{Q}_{\dot{\alpha}}^{b} \right\} = 0 \\ \begin{bmatrix} P_{\mu}, Q_{\alpha a} \end{bmatrix} = \begin{bmatrix} P_{\mu}, \overline{Q}_{\dot{\alpha}}^{a} \end{bmatrix} = 0, \qquad \begin{bmatrix} L^{\mu\nu}, Q_{\alpha a} \end{bmatrix} = i\gamma_{\alpha\beta}^{\mu\nu}\epsilon^{\beta\gamma}Q_{\gamma a}, \qquad \begin{bmatrix} L^{\mu\nu}, \overline{Q}_{\dot{\alpha}}^{a} \end{bmatrix} = i\gamma_{\dot{\alpha}\dot{\beta}}^{\dot{\beta}\dot{\gamma}}\overline{Q}_{\dot{\gamma}}^{\dot{\gamma}} \\ \begin{bmatrix} K^{\mu}, Q_{\alpha a} \end{bmatrix} = \gamma_{\alpha\dot{\alpha}}^{\mu}\epsilon^{\dot{\alpha}\dot{\beta}}\overline{S}_{\dot{\beta}a}, \qquad \begin{bmatrix} K^{\mu}, \overline{Q}_{\dot{\alpha}}^{a} \end{bmatrix} = \gamma_{\alpha\dot{\alpha}}^{\mu}\delta_{\alpha}^{b}K_{\mu}, \qquad \{S_{\alpha}^{a}, S_{\alpha}^{a}\} = \left\{ \overline{S}_{\dot{\alpha}a}, \overline{S}_{\dot{\alpha}b} \right\} = 0 \\ \begin{bmatrix} K_{\mu}, S_{\alpha}^{a} \end{bmatrix} = \begin{bmatrix} K_{\mu}, \overline{S}_{\dot{\alpha}a} \end{bmatrix} = 0 \\ \begin{bmatrix} Q_{\alpha a}, S_{\beta}^{b} \end{bmatrix} = -i\epsilon_{\alpha\beta}\sigma^{IJa}_{\ a}^{b}R_{IJ} + \gamma_{\alpha\beta}^{\mu\nu}\delta_{\alpha}^{b}L_{\mu\nu} - \frac{1}{2}\epsilon_{\dot{\alpha}\beta}\delta_{\alpha}^{b}D \\ \begin{bmatrix} \overline{Q}_{\dot{\alpha}}^{a}, \overline{S}_{\dot{\beta}b} \end{bmatrix} = i\epsilon_{\dot{\alpha}\beta}\sigma^{IJa}_{\ b}^{a}R_{IJ} + \gamma_{\dot{\alpha}\beta}^{\mu\nu}\delta_{\alpha}^{b}L_{\mu\nu} - \frac{1}{2}\epsilon_{\dot{\alpha}\beta}\delta_{\alpha}^{b}D \end{split}$$

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- Conformal symmetry \rightarrow No mass spectrum
- Conformal dimension spectrum for a local operator $[D, O(0)] = -i \Delta O(0)$
- Lowered by K : $O'(0) \equiv \left[K_{\mu}, O(0)\right] \rightarrow \left[D, O'(0)\right] = -i (\Delta 1) O'(0)$
- Primary operator : $\left[K_{\mu}, \widetilde{O}(0)\right] = 0$ $\left[D, K_{\mu}\right] = +i K_{\mu}$
 - Descendent operators : (ex) $[P_{\mu}, \tilde{O}] = -i\partial_{\mu}\tilde{O}$ $[D, P_{\mu}] = -iP_{\mu}$ $[D, \partial_{\mu}\tilde{O}] = -i(\Delta + 1)\partial_{\mu}\tilde{O}$
 - Superconformal raising and lowering ops.

 $[D, Q_{\alpha a}] = -\frac{i}{2}Q_{\alpha a}, \quad \left[D, \overline{Q}^{a}_{\dot{\alpha}}\right] = -\frac{i}{2}\overline{Q}^{a}_{\dot{\alpha}}, \quad \left[D, S^{a}_{\alpha}\right] = \frac{i}{2}S^{a}_{\alpha}, \quad \left[D, \overline{S}_{\dot{\alpha} a}\right] = \frac{i}{2}\overline{S}_{\dot{\alpha} a}$

• Superconformal primary :

$$\left[S^{a}_{\alpha},\widetilde{O}(0)\right] = \left[\overline{S}_{\dot{\alpha}a},\widetilde{O}(0)\right] = 0$$

 $K_{\mu}, S^{a}_{\alpha}, \overline{S}_{\dot{\alpha}a}$

 $P_{\mu}, Q_{\alpha a}, \overline{O}^{a}_{\dot{\alpha}}$

• Cartan subalgebra
$$[D, R] = [L_{\mu\nu}, R] = [D, L_{\mu\nu}] = 0$$

Irreducible rep. are given by eigenvalues of these operators

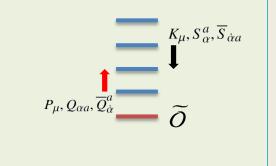
$$(\Delta, \overline{S_1, S_2} | \overline{J_1, J_2, J_3})$$

- Scalar fields $Z \equiv \Phi_1 + i\Phi_2, \quad Y \equiv \Phi_3 + i\Phi_4, \quad X \equiv \Phi_5 + i\Phi_6$ $\overline{Z} \equiv \Phi_1 - i\Phi_2, \quad \overline{Y} \equiv \Phi_3 - i\Phi_4, \quad \overline{X} \equiv \Phi_5 - i\Phi_6$ $(1,0,0|\pm 1,0,0), \ (1,0,0|0,\pm 1,0), \ (1,0,0|0,0,\pm 1)$
- Gauginos and gauge fields χ^{A}_{α} F_{+} \mathcal{D} $\left(\frac{3}{2}, \pm \frac{1}{2}, 0| \pm \frac{1}{2}, \pm \frac{1}{2}, \pm \frac{1}{2}\right), (2, m, 0|0, 0, 0), \left(1, \pm \frac{1}{2}, \pm \frac{1}{2}|0, 0, 0\right)$
- General gauge invariant composite operators $\tilde{O}(x) = \text{Tr} \left[O_1(x)O_2(x) \dots O_L(x)\right]$
- $\frac{1}{2}$ -BPS operator $\operatorname{Tr}\left[Z^{L}\right] \rightarrow (L, 0, 0|L, 0, 0)$

Chiral primary or BPS operator

- Impose further condition $[Q_{a\alpha}, \widetilde{O}(0)] = 0$, for some α, a
 - Jacobi identity $\left[\left\{Q_{a\alpha}, S_{\beta}^{b}\right\}, \widetilde{O}(0)\right] = \left[-i\varepsilon_{\alpha\beta}\left(\sigma^{IJ}\right)_{a}^{b}R_{IJ} \varepsilon_{\alpha\beta}\delta_{a}^{b}D + \sigma_{\alpha\beta}^{\mu\nu}\delta_{a}^{b}L_{\mu\nu}, \widetilde{O}(0)\right] = 0$

 $- \text{ For the Lorentz scalar operator}: \begin{bmatrix} L_{\mu\nu}, \widetilde{O}(0) \end{bmatrix} = 0$ $\left(\sigma^{IJ}\right)_{a}^{b} \begin{bmatrix} R_{IJ}, \widetilde{O}(0) \end{bmatrix} = \Delta \ \delta_{a}^{b} \ \widetilde{O}(0) \qquad \sigma^{12} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} \qquad P$



- Satisfied if R-charge = conformal dimension $\Delta = J_1$ Tr $[Z^L] \rightarrow (L, 0, 0|L, 0, 0)$
- This commutes with half SUSY charges and conformal dimension is protected and gets no quantum corrections

Anomalous Dimension

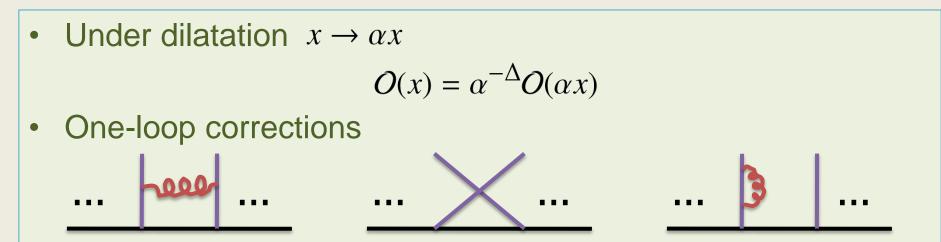
- Conformal dimensions of composite operators : $\langle O_n(x)O_m(0)\rangle = \frac{\delta_{mn}}{|x|^{2\Delta_n}}$
- Anomalous dimension is defined by $\Delta = \Delta_0 + \gamma$
- can be calculated by
 - Direct perturbation theory
 - Renormalization group under dilatation
- Operator mixing by RG dilatation

Perturbative computation

• (ex) Konishi operator
$$O(x) = \operatorname{Tr}\left(\sum_{a} \Phi_{a}(x)^{2}\right)$$

• Tree-level
 $\langle : \Phi_{a}(x)^{A}_{B} \Phi_{a}(x)^{B}_{A} :: \Phi_{b}(y)^{C}_{D} \Phi_{b}(y)^{D}_{C} : \rangle_{0} = \left(\frac{g^{2}_{YM}}{8\pi^{2}}\right)^{2} \frac{N^{2}_{c} \cdot 6 \cdot 2}{|x - y|^{4}}$
 $\langle O(x)O(y) \rangle = \frac{1}{|x - y|^{2\Delta}}$
 $\frac{g^{2}_{YM}}{g^{2}_{R}} \frac{\delta^{A}_{c} \delta^{D}_{B} \delta_{ab}}{|x - y|^{2}}$
 $\int \frac{d^{4}z}{|z - x|^{4}|z - y|^{4}} \approx \frac{2i}{|x - y|^{4}} \int_{\Lambda^{-1}}^{|x - y|} \frac{d\xi d\Omega_{3}}{\xi} = \frac{2\pi^{2}i}{|x - y|^{4}} \ln(\Lambda^{2}|x - y|^{2})$
• One-loop
 $\langle : \Phi_{a}(x)^{A}_{B} \Phi_{a}(x)^{B}_{A} :: \Phi_{b}(y)^{C}_{D} \Phi_{b}(y)^{D}_{C} : \left(\frac{g^{2}_{YM}}{4} \int d^{4}z \operatorname{Tr}(\Phi_{c}\Phi_{c}\Phi_{d}\Phi_{d})(z)\right) \rangle_{0} + \dots$
 $\langle O_{R}(x)O_{R}(y) \rangle = \left(\frac{\lambda}{8\pi^{2}}\right)^{2} \frac{12}{|x - y|^{4}} \left[1 - \frac{3\lambda}{4\pi^{2}} \ln(|x - y|^{2})\right] \sim \frac{1}{|x - y|^{2(2\frac{1}{4}3\lambda/4\pi^{2})}}{\gamma}$

RG method



• Operator mixing (ex) su(2) sector $\left\{ \operatorname{Tr}\left[ZZZZXX\right], \operatorname{Tr}\left[ZZZZXZ\right], \operatorname{Tr}\left[ZZZZZX\right], \operatorname{Tr}\left[ZXZZZX\right] \right\}$

$$O_a = \mathbb{Z}_a^b(\Lambda)O_b$$

Dilatation matrix

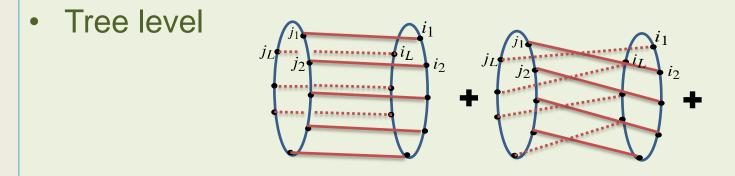
$$\Gamma = \frac{d\mathcal{Z}}{d\ln\Lambda} \cdot \mathcal{Z}^{-1}$$

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SO(6) sector

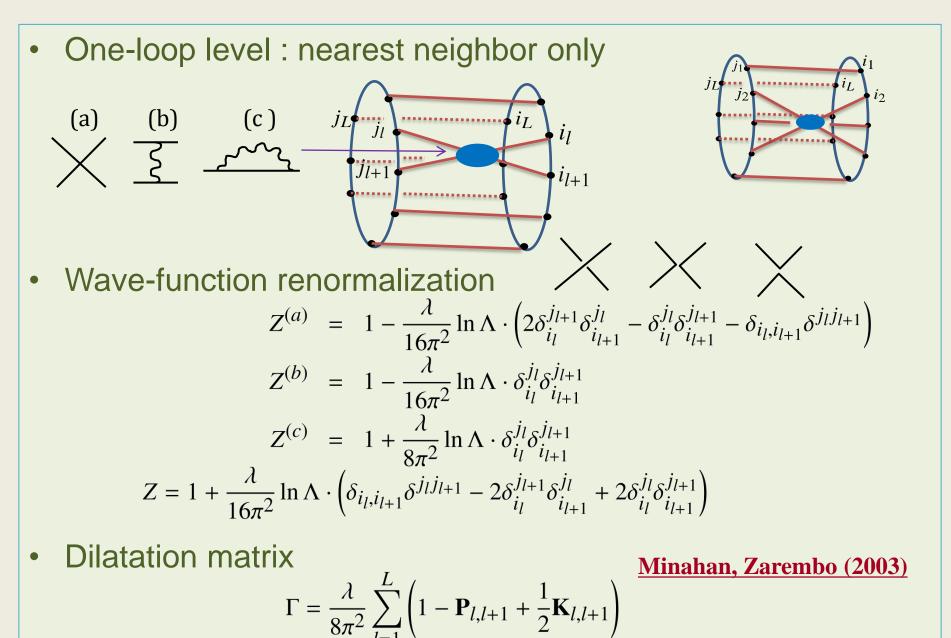
- Scalar fields $\{Z, Y, X, \overline{Z}, \overline{Y}, \overline{X}\}$
- Composite operators $\left\{ \operatorname{Tr} \left[XYZ\overline{X}YZX\overline{Z}\cdots \right],\ldots \right\} = \operatorname{Tr} \left[\Phi_{i_1}\cdots \Phi_{i_L} \right] \equiv O_{i_1\cdots i_L}(x)$
- Two-point function

$$\left\langle \overline{O}^{j_1 \cdots j_L}(x) O_{i_1 \cdots i_L}(y) \right\rangle$$



$$\left(\frac{\lambda}{8\pi^2}\right)^L \frac{1}{|x-y|^{2L}} \left[\delta_{i_1}^{j_1} \cdots \delta_{i_L}^{j_L} + \delta_{i_2}^{j_1} \cdots \delta_{i_1}^{j_L} + \dots\right]$$

cyclic permutations



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Mapping to integrable spin chain

- Finding the eigenvalues of the dilatation matrix is very difficult problem but fortunately ...
- Mapping the matrix to a Hamiltonian of integrable spin chain has been discovered [(ex) so(6), su(2) spin chains]
- (ex) su(2) sector $\{\operatorname{Tr}[Z^L], \operatorname{Tr}[Z^{L-1}X], \operatorname{Tr}[Z^{L-n-1}XZ^{n-1}X], \dots, \operatorname{Tr}[X^L]\}$
- One-loop dilatation \rightarrow Heisenberg spin chain model
 - Map: $| \Uparrow \rangle \equiv |Z\rangle, | \Downarrow \rangle \equiv |X\rangle$
 - Vacuum state: BPS $|0\rangle \equiv \text{Tr}[Z^L]$
 - Excited states:

 $\Gamma = \frac{\lambda}{8\pi^2} \sum_{l=1}^{L} \left[1 - \vec{\sigma}_l \cdot \vec{\sigma}_{l+1} \right]$

Heisenberg model

• 1D spin chain, XXX model $H = \sum_{l=1}^{L} \left(1 - \overrightarrow{\sigma}_{l} \cdot \overrightarrow{\sigma}_{l+1} \right)$ $\sigma_{j}^{a} = \mathbf{1} \otimes \cdots \otimes \mathbf{1} \otimes \sigma^{a} \otimes \mathbf{1} \otimes \cdots \otimes \mathbf{1} : \quad 2^{L} \times 2^{L} \text{ Matrix}$ $\sigma_{j}^{x} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_{j}^{y} = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_{j}^{z} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

- Can be exactly solvable
- Reference : <u>Lecture by Rafael Nepomechie</u>

Bethe ansatz equation

• Eigenvectors $|p_1, p_2, \dots \rangle = \sum_{n_1, n_2, \dots = 1}^{L} A(p_1, p_2, \dots) e^{i(n_1 p_1 + n_2 p_2 + \dots)} | \dots \uparrow \underset{n_1}{\Downarrow} \uparrow \dots \uparrow \underset{n_2}{\Downarrow} \uparrow \dots \rangle + \dots$

• **BAE**
$$e^{ip_j L} = \prod_{\substack{k=1 \ k \neq j}}^M \frac{\cot \frac{p_j}{2} - \cot \frac{p_k}{2} + 2i}{\cot \frac{p_j}{2} - \cot \frac{p_k}{2} - 2i}, \quad j = 1, \dots, M$$

 $\left(\frac{u_j + i/2}{u_j - i/2}\right)^L = \prod_{\substack{k=1 \ k \neq j}}^M \frac{u_j - u_k + i}{u_j - u_k - i}, \quad \frac{u + i/2}{u - i/2} \equiv e^{ip}$

Anomalous dimensions are given by the eigenvalues

$$\gamma = \frac{\lambda}{2\pi^2} \sum_{j=1}^{M} \sin^2 \frac{p_j}{2} = \frac{\lambda}{2\pi^2} \sum_{j=1}^{M} \frac{1}{u_j^2 + \frac{1}{4}}$$

Actual solution of BAE for generic M, L is non-trivial

• Cyclicity of the trace : $n_j \rightarrow n_j + L$

 $\sum_{j=1}^{M} p_j = 0$

• (Ex) Two "magnon" state :

$$\left(\frac{u_1 + \frac{i}{2}}{u_1 - \frac{i}{2}}\right)^L = \frac{u_1 - u_2 + i}{u_1 - u_2 - i} = \frac{u_1 + \frac{i}{2}}{u_1 - \frac{i}{2}} \quad \text{with} \quad u_1 = -u_2$$

$$\gamma = \frac{\lambda}{\pi^2} \sin^2 \frac{n\pi}{L-1} \quad \xrightarrow{L \gg 1} \quad \frac{n^2 \lambda}{L^2}$$

Bethe Strings

- Bethe roots so far were real but complex roots can exist
- $L \rightarrow \infty$ limit:
 - Introduce a complex pair of root with imaginary parts $u_j = u^R \pm i\alpha$
 - For positive imaginary root: LHS of BAE

$$\left(\frac{u_j + i/2 + i\alpha}{u_j - i/2 + i\alpha}\right)^L \to$$

 ∞

- RHS of BAE : there should be another Bethe root which makes a denominator vanish : $u_k = u^R + i(\alpha 1)$
- Repeat the process until the imaginary part is still positive
- For negative imaginary root: LHS of BAE $\left(\frac{u_j + i/2 i\alpha}{u_j i/2 i\alpha}\right)^L \rightarrow 0$
- RHS should vanish by adding $u_l = u^R + i(-\alpha + 1)$
- For finite # of roots, α should be an integer or a half-integer
- Bethe string

$$u_j^{(n)} = u^R + \frac{n+1-2j}{2}i, \quad j = 1, \dots, n$$

- For finite L : strings are deformed
- Low lying states are given by "long strings" rather than real roots $E^{(n)} = \sum_{j=1}^{n} \frac{1}{\left(u_{j}^{(n)}\right)^{2} + \frac{1}{4}} = \frac{n}{(u^{R})^{2} + \frac{n^{2}}{4}} \le \frac{n}{(u^{R})^{2} + \frac{1}{4}} = nE^{(1)}$
- BAE for the strings can be obtained by multiplying elementary BAE for each component of a string
 - Elementary BAE

$$e_1(u_j)^L = \prod_{k \neq j}^M e_2(u_j - u_k)$$
 $e_n(u) \equiv \frac{u + in/2}{u - in/2}$

- BAE for strings

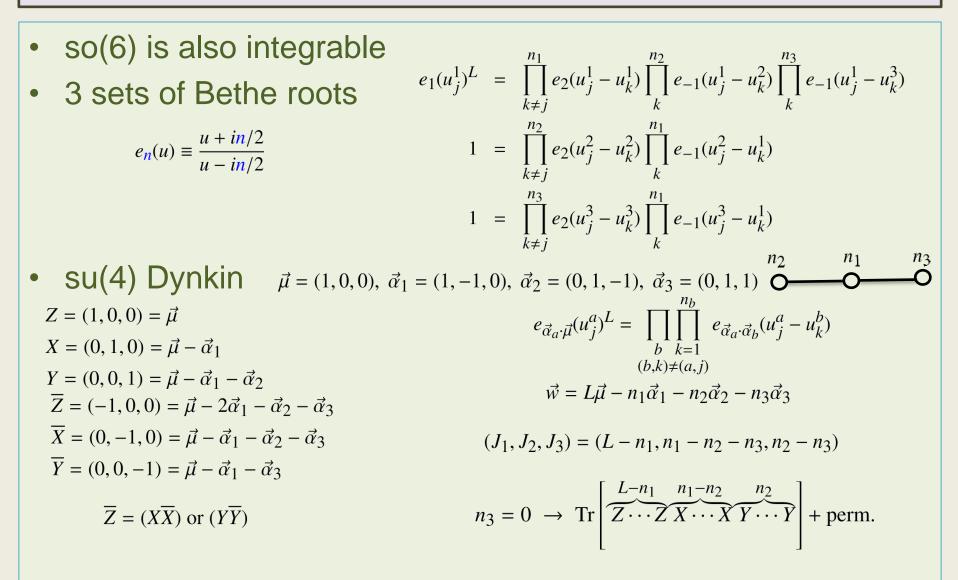
$$\prod_{j=1}^{n} \frac{u^{R} + i(n+1-2j)/2 + i/2}{u^{R} + i(n+1-2j)/2 - i/2} = \frac{u^{R} + in/2}{u^{R} - in/2} = e_{n}(u^{R})$$

$$e_{n_J}(u_J^R)^L = \prod_{K=1}^M E_{n_J,n_K}(u_J^R - u_K^R) \qquad E_{n,m} = e_{|n-m|}e_{|n-m|+2}^2 \cdots e_{n+m-2}^2 e_{n+m}$$

n

i

SO(6) BAE



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• (ex)
$$n_1 = 2$$
, $n_2 = 1$ $Tr\left[\underbrace{Z \cdots Z}_{Z \cdots Z} XY\right] + perm.$ u_1^1, u_2^1, u_2^2

• Total momentum=0 \rightarrow $u_1^1 = -u_2^1$

• BAE:
$$e_1(u_1^1)^L = e_2(2u_1^1)e_{-1}(u_1^1 - u^2), \ 1 = e_{-1}(u^2 - u_1^1)e_{-1}(u^2 + u_1^1)$$

 $u^2 = 0 \quad \text{or} \quad \infty$
 $e_1(u_1^1)^L = 1 \quad \rightarrow \quad p_1 = \frac{2\pi n}{L}, \quad \gamma = \frac{\lambda}{2\pi^2}\sin^2\frac{\pi n}{L}$
 $- u^2 = \infty : XY + YX$

$$e_1(u_1^1)^{L-1} = 1 \quad \to \quad p_1 = \frac{2\pi n}{L-1}, \quad \gamma = \frac{\lambda}{2\pi^2} \sin^2 \frac{\pi n}{L-1}$$

Classical integrability in string theory

Superstring on AdS background

• Type IIB superstrings on $AdS_5 \times S^5$ is described by

$$= \frac{R^2}{\alpha'} \int d\tau d\sigma \left[G_{mn}^{(S^5)} \partial_a X^m \partial^a X^n + G_{mn}^{(AdS)} \partial_a Y^m \partial^a Y^n + \text{fermions} \right]$$



<u>Metsaev, Tseytlin (1998)</u> <u>Bena, Polchinski, Roiban (2003)</u>

- **Virasoro constraints** $\dot{X}^{m}X'_{m} + \dot{Y}^{n}Y'_{n} = 0$, $\dot{X}^{m}\dot{X}_{m} + \dot{Y}^{n}\dot{Y}_{n} + X'^{m}X'_{m} + Y'^{n}Y'_{n} = 0$
- Classically integrable nonlinear sigma model on coset $AdS_5 \times S^5 \approx SO(4,2)/SO(4,1) \times SO(6)/SO(5) \rightarrow PSU(2,2|4)/[SO(4,1) \times SO(5)]$

S

- $AdS_5 \times S^5$ space $X_1^2 + \dots + X_6^2 = 1$, $Y_0^2 Y_1^2 \dots Y_4^2 + Y_5^2 = 1$
- Global coordinates

$$Y_{1} + iY_{2} = \sinh \rho \cos \psi e^{i\phi_{1}}, \qquad Y_{3} + iY_{4} = \sinh \rho \sin \psi e^{i\phi_{2}},$$

$$Y_{5} + iY_{0} = \cosh \rho e^{it}, \qquad X_{5} + iX_{6} = \cos \gamma e^{i\varphi_{3}},$$

$$X_{1} + iX_{2} = \sin \gamma \cos \theta e^{i\varphi_{1}}, \qquad X_{3} + iX_{4} = \sin \gamma \sin \theta e^{i\varphi_{2}}$$

$$ds^{2})_{AdS_{5}} = R^{2} \left[d\rho^{2} - \cosh^{2} \rho dt^{2} + \sinh^{2} \rho (d\psi^{2} + \cos^{2} \psi d\phi_{1}^{2} + \sin^{2} \psi d\phi_{2}^{2}) \right]$$

$$(ds^{2})_{S^{5}} = R^{2} \left[d\gamma^{2} + \cos^{2} \gamma d\varphi_{3}^{2} + \sin^{2} \gamma (d\theta^{2} + \cos^{2} \theta d\varphi_{1}^{2} + \sin^{2} \theta d\varphi_{2}^{2}) \right]$$

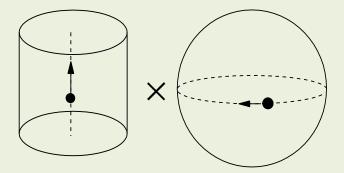
- Eqs. of motion $\partial^a \partial_a Y_n \tilde{\Lambda} Y_n = 0$, $\tilde{\Lambda} = \partial^a Y_n \partial_a Y^n$, $Y_n Y^n = -1$ $\partial^a \partial_a X_m - \Lambda X_m = 0$, $\Lambda = \partial^a X_m \partial_a X^m$, $X_m X^m = 1$
- 6 isometry coordinates $t, \phi_1, \phi_2, \varphi_1, \varphi_2, \varphi_3$
- Conserved charges $S_{pq} = \sqrt{\lambda} \int_{0}^{2\pi} \frac{d\sigma}{2\pi} (Y_p \dot{Y}_q - Y_q \dot{Y}_q), \quad J_{mn} = \sqrt{\lambda} \int_{0}^{2\pi} \frac{d\sigma}{2\pi} (X_m \dot{X}_n - X_n \dot{X}_m)$ $(S_{50}, S_{12}, S_{34} | J_{12}, J_{34}, J_{56}) \quad \leftrightarrow \quad (\Delta, S_1, S_2 | J_1, J_2, J_3)$

BPS string

• A point-like [no σ dependence] string which rotates on a great circle of S⁵ with angular momentum $J \to \infty$

$$X_5 + iY_0 = e^{i\kappa\tau}, X_1 + iX_2 = e^{i\kappa\tau}, \kappa = \sqrt{\Lambda}, Y_{1,2,3,4} = X_{3,4,5,6} = 0$$

• Energy = angular momentum $E = J_1 = \sqrt{\lambda}\kappa$



Berenstein, Maldacena, Nastase (2002) BMN string

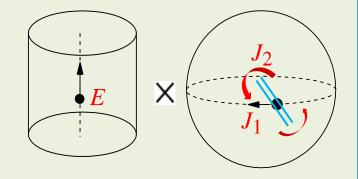
- A point-like string in the planar-limit $R \to \infty$ with $\rho, \gamma \to 0$ $ds^2 = R^2 \left[d\rho^2 - (1+\rho^2) dt^2 + d\gamma^2 + (1-\gamma^2) d\varphi_3^2 + \rho^2 (d\Omega_3)^2 + \gamma^2 (d\Omega'_3)^2 \right] + O(R^{-2})$ $= R^2 (d\varphi_3^2 - dt^2) + dr^2 - r^2 dt^2 + dy^2 - y^2 d\varphi_3^2 + r^2 (d\Omega_3)^2 + y^2 (d\Omega'_3)^2 \quad (r \equiv R\rho, y \equiv R\gamma)$ $= -2dx^+ dx^- - \mu^2 (r^2 + y^2) (dx^+)^2 + dr^2 + dy^2 + r^2 (d\Omega_3)^2 + y^2 (d\Omega'_3)^2 \quad t, \varphi_3 = \mu x^+ \pm \frac{x^-}{\mu R^2}$
- Moving on the null geodesic $t = \varphi_3$
- Orthogonal directions have only quadratic fluctuations $S = \sqrt{\lambda} \int d^2 \xi \left[\frac{1}{2} (\partial_a x^i)^2 - \frac{(\mu \alpha' p^+)^2}{2} (x^i)^2 \right] \quad \text{with} \quad p^+ = \frac{J}{\mu R^2}$ • Energy $E - J = \sum_{n=-\infty}^{\infty} N_n \sqrt{1 + \frac{\lambda}{J^2} n^2}$ exact in all orders of λ
- Relation to the SYM $|0\rangle \leftrightarrow \operatorname{Tr}[Z^{J}], \quad E = J$ $a_{-n}^{i}a_{n}^{i}|0\rangle \leftrightarrow \operatorname{Tr}[X^{2}Z^{J} + \ldots], \quad E = J + 2\sqrt{1 + \lambda n^{2}/J^{2}}$ 5th Asian Winter School on Strings,

5th Asian Winter School on Strings, Particles and Cosmology

Folded string

Gubser, Klebanov, Polyakov ; Frolov, Tseytlin (2002)

- SU(2) sector of SYM maps to
- Consider a folded string which is spinning in $R_t \times S^3 \rightarrow \rho = 0, \ \gamma = \frac{\pi}{2}, \ \varphi_3 = 0$



$$t = \kappa \tau, \ \varphi_1 = \omega_1 \tau, \ \varphi_2 = \omega_2 \tau, \ -\theta_0 \le \theta(\sigma) \le \theta_0$$

Conserved charges :

$$E = \sqrt{\lambda}\kappa, J_1 = \sqrt{\lambda}\omega_1 \int_0^{2\pi} \frac{d\sigma}{2\pi} \cos^2\theta(\sigma), J_2 = \sqrt{\lambda}\omega_2 \int_0^{2\pi} \frac{d\sigma}{2\pi} \sin^2\theta(\sigma)$$

• Effective 1d action:

$$S = \frac{\sqrt{\lambda}}{4\pi} \int_0^{2\pi} d\sigma \left[\kappa^2 + \theta'(\sigma)^2 - \omega_1^2 \cos^2 \theta(\sigma) - \omega_2^2 \sin^2 \theta(\sigma) \right]$$
$$E = J + \frac{2\lambda}{J\pi^2} \mathbf{K}(q_0) \left[\mathbf{E}(q_0) - (1 - q_0) \mathbf{K}(q_0) \right], \quad \frac{J_2}{J} = 1 - \frac{\mathbf{E}(q_0)}{\mathbf{K}(q_0)}$$

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Neumann-Rosochatius reduction

Arutyunov, Russo, Tseytlin (2002)

• A string in $R_t \times S^3 \rightarrow \rho = 0, \ \gamma = \frac{\pi}{2}, \ \varphi_3 = 0$

 $t = \kappa \tau, \cos \theta(\sigma, \tau) = r_1(\xi), \sin \theta(\sigma, \tau) = r_2(\xi), \varphi_j(\sigma, \tau) = \omega_j \tau + f_j(\xi), \xi = \alpha \sigma + \beta \tau$

- Effective 1d Lagrangian (Neumann-Rosochatius): $L_{NR} = (\alpha^2 - \beta^2) \sum_{j=1}^{2} \left[r_j'^2 - \frac{1}{(\alpha^2 - \beta^2)^2} \left(\frac{C_j^2}{r_j^2} + \alpha^2 \omega_j^2 r_j^2 \right) \right] + \Lambda \left(\sum_{j=1}^{2} r_j^2 - 1 \right)$
- Conserved charges and Virasoro constraints

$$E = \frac{\lambda}{2\pi} \frac{\kappa}{\alpha} \int d\xi, \quad J_j = \frac{\lambda}{2\pi} \frac{1}{\alpha^2 - \beta^2} \int d\xi \left(\frac{\beta}{\alpha} C_j + \alpha \omega_j r_j^2\right), \qquad \sum_{j=1}^2 C_j \omega_j + \beta \kappa^2 = 0$$

• Exact solution
$$E = \frac{\sqrt{\lambda}}{2\pi} \mathcal{E}, \quad J = \frac{\sqrt{\lambda}}{2\pi} \mathcal{J}, \quad v = -\frac{\beta}{\alpha}$$

 $\mathcal{E} = 2\sqrt{(1-v^2)(1-\epsilon)}\mathbf{K}(1-\epsilon), \quad \mathcal{J} = 2\sqrt{\frac{1-v^2}{1-v^2\epsilon}}[\mathbf{K}(1-\epsilon) - \mathbf{E}(1-\epsilon)],$
 $\mathcal{E} - \mathcal{J} = 2\sqrt{\frac{1-v^2}{1-v^2\epsilon}} \Big[\mathbf{E}(1-\epsilon) - \Big(1-\sqrt{(1-v^2\epsilon)(1-\epsilon)}\Big)\mathbf{K}(1-\epsilon)\Big],$
 $p = 2v\sqrt{\frac{1-v^2\epsilon}{1-v^2}} \Big[\frac{1}{v^2}\Pi\Big(1-\frac{1}{v^2}|1-\epsilon\Big) - \mathbf{K}(1-\epsilon)\Big]$

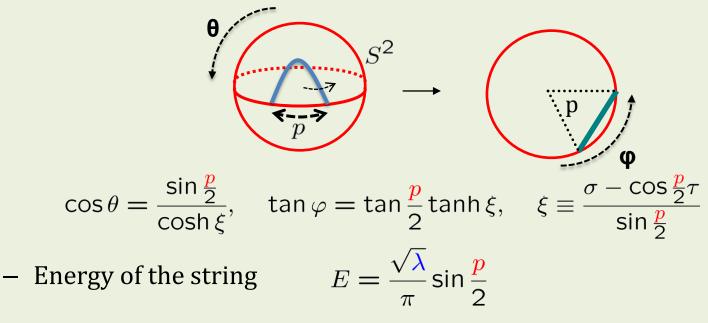
• Infinite J limit

$$\mathcal{E} - \mathcal{J} = 2 \sin(p/2) \left[1 - 4 \sin^2(p/2) \exp\left(-\frac{\mathcal{J}}{\sin(p/2)} - 2\right) \right]$$

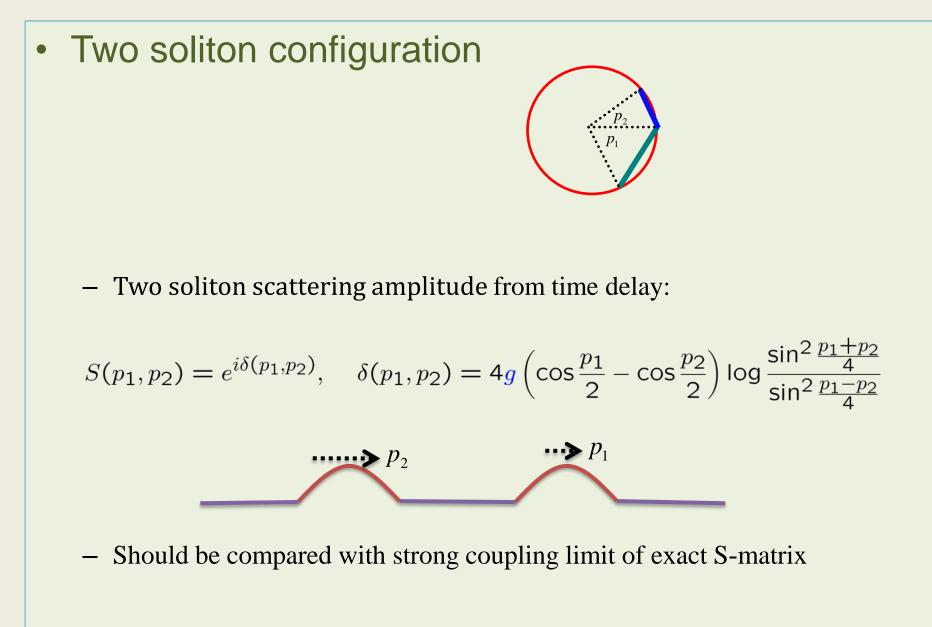
Giant magnon

Giant magnon

Classical string configuration in R x S² Hofman, Maldacena (2006)



- S² angle θ is related to the sine-Gordon field, GM is mapped to the SG "Soliton" $\Phi = 2 \tan^{-1}(e^{\xi})$
- Dual to magnons in the SYM spin chain $\cdots \Uparrow \Uparrow \Downarrow \Uparrow \land \land \land$



Dyonic giant magnon

Chen, Dorey, Okamura (2007)

• **GM** in $R \times S^3 \to |Z_1|^2 + |Z_2|^2 = 1$ $(Z_1 = \sin \theta e^{i(\tau + \varphi_1)}, Z_2 = \cos \theta e^{i(\omega \tau + \varphi_2)})$

$$\cos\theta = \frac{\sin\frac{p}{2}}{\cosh\tilde{\xi}}, \quad \tan\varphi_1 = \tan\frac{p}{2} \tanh\tilde{\xi}, \quad \tilde{\xi} \equiv \alpha\sigma + \beta\tau$$

- Related to classical complex sine-Gordon model

- Energy-charge relation:
$$E - J_1 = \sqrt{Q^2 + \frac{\lambda}{\pi^2}} \sin^2 \frac{p}{2}, \quad J_2 = Q \quad Q \sim \sqrt{\lambda} >> 1$$

- Dual to magnon bound states "Bethe string" Q

All-Loop Bethe ansatz

• Conjecture: su(2) sector

$$e^{ip_{j}L} = \prod_{\substack{k=1\\k\neq j}}^{M} \left[\sigma^{2}(x_{j}, x_{k}) \frac{u_{j} - u_{k} + i}{u_{j} - u_{k} - i} \right]$$

$$x_{j}^{\pm} = e^{\pm i\frac{p_{j}}{2}} \left[\frac{1 + \sqrt{1 + 16g^{2} \sin^{2}\frac{p_{j}}{2}}}{4g \sin \frac{p_{j}}{2}} \right], \quad x_{j}^{\pm} + \frac{1}{x_{j}^{\pm}} = u_{j} \pm \frac{i}{2g}, \quad u_{j} = \frac{1}{2} \cot \frac{p_{j}}{2} \sqrt{1 + 16g^{2} \sin^{2}\frac{p_{j}}{2}} \qquad g \equiv \frac{\sqrt{\lambda}}{4\pi}$$

$$\Delta = M + \gamma = \sum_{j=1}^{M} \sqrt{1 + 16g^{2} \sin^{2}\frac{p_{j}}{2}} \qquad \frac{g \ll 1 \text{ limit}}{2\pi^{2}} \qquad \frac{\lambda}{2\pi^{2}} \sin^{2}\frac{p_{j}}{2}$$

$$\frac{g \gg 1 \text{ limit}}{\pi} \qquad \frac{\lambda}{\pi} \sin \frac{p_{j}}{2}$$

$$\frac{BMN \text{ limit}}{\sqrt{1 + \frac{\lambda}{J^{2}}n_{j}^{2}}} \qquad \left(p_{j} = \frac{2\pi n_{j}}{J}\right)$$

Matches well with perturbative computations up to 4 loops

BES dressing factor

Beisert-Hernandez-Lopez, Beisert-Eden-Staudacher

• Integral Representation: Dorey, Hofman, Maldacena (2006) (derivation later) $\chi(x,y) = -\chi(y,x)$

$$\sigma(x_1, x_2) = \exp\left\{i\left[\chi(x_1^+, x_2^-) + \chi(x_1^-, x_2^+) - \chi(x_1^+, x_2^+) - \chi(x_1^-, x_2^-)\right]\right\}$$

$$\chi(x,y) = -i \oint_{|z|=1} \frac{dz}{2\pi i} \oint_{|z'|=1} \frac{dz'}{2\pi i} \frac{1}{x-z} \frac{1}{y-z'} \frac{\ln\Gamma\left[1+ig\left(z_1+\frac{1}{z_1}-z_2-\frac{1}{z_2}\right)\right]}{\ln\Gamma\left[1-ig\left(z_1+\frac{1}{z_1}-z_2-\frac{1}{z_2}\right)\right]}$$

Match with all the existing approximate results including classical string theory
 Arutyunov, Frolov, Staudacher

Three-loop su(2) Konishi

• su(2) Konishi Tr [ZZXX], Tr [ZXZX]

• **BAE**: $p_1 = -p_2 = p$, $\sigma \approx 1 + O(g^6)$

$$e^{i4p} = \frac{2u+i}{2u-i}, \quad u = \frac{1}{2}\cot\frac{p}{2}\sqrt{1+16g^2\sin^2\frac{p}{2}}$$

Match with perturbative SVM

• Perturbative solutions $p = \frac{2\pi}{3} - \sqrt{3}g^2 + \frac{9\sqrt{3}}{2}g^4 + \dots$

• One gets
$$\Delta = 4 + 12g^2 - 48g^4 + 336g^6 + \mathcal{O}(g^8)$$

Full sector Conjecture Beisert-Staudacher

$$O(x) = \operatorname{Tr} \left[\dots ZX \dots ZY \dots ZF_{\mu\nu} \dots Z\chi^{\alpha} \dots ZD_{\mu}Y \dots \right]$$

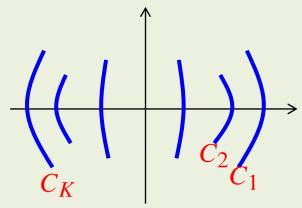
$$1 \stackrel{\cong}{=} \prod_{k=1}^{K_2} \frac{u_{1j} - u_{2k} + \frac{i}{2}}{u_{1j} - u_{2k} - \frac{i}{2}} \prod_{k=1}^{K_4} \frac{1 - 1/x_{1j}x_{4k}^+}{1 - 1/x_{1j}x_{4k}^-} \\
1 \stackrel{\cong}{=} \prod_{k=1}^{K_2} \frac{u_{2j} - u_{2k} - i}{u_{2j} - u_{2k} + i} \prod_{k=1}^{K_3} \frac{u_{2j} - u_{3k} + \frac{i}{2}}{u_{2j} - u_{3k} - \frac{i}{2}} \prod_{k=1}^{K_1} \frac{u_{2j} - u_{1k} + \frac{i}{2}}{u_{2j} - u_{1k} - \frac{i}{2}} \\
1 \stackrel{\cong}{=} \prod_{k=1}^{K_2} \frac{u_{3j} - u_{2k} + \frac{i}{2}}{u_{3j} - u_{2k} - \frac{i}{2}} \prod_{k=1}^{K_4} \frac{x_{3j} - x_{4k}^+}{x_{3j} - x_{4k}^-} \\
1 \stackrel{\cong}{=} \prod_{k=1}^{K_4} \frac{\sigma^2(x_{4j}, x_{4k}) \frac{u_{4j} - u_{4k} + i}{u_{4j} - u_{4k} - i}} \\
\times \prod_{k=1}^{K_1} \frac{1 - 1/x_{4j}x_{1k}}{1 - 1/x_{4j}x_{1k}} \prod_{k=1}^{K_3} \frac{x_{4j} - x_{3k}}{x_{4j}^+ - x_{3k}} \prod_{k=1}^{K_5} \frac{x_{4j}^- - x_{5k}}{x_{4j}^+ - x_{5k}} \prod_{k=1}^{K_7} \frac{1 - 1/x_{4j}x_{7k}}{1 - 1/x_{4j}x_{7k}} \\
1 \stackrel{\cong}{=} \prod_{k=1}^{K_6} \frac{u_{5j} - u_{6k} + \frac{i}{2}}{u_{5j} - u_{6k} - \frac{i}{2}} \prod_{k=1}^{K_4} \frac{x_{5j} - x_{4k}}{u_{6j} - u_{5k} - \frac{i}{2}} \prod_{k=1}^{K_7} \frac{u_{6j} - u_{7k} + \frac{i}{2}}{u_{6j} - u_{7k} - \frac{i}{2}} \\
1 \stackrel{\cong}{=} \prod_{k=1}^{K_6} \frac{u_{7j} - u_{6k} + \frac{i}{2}} \prod_{k=1}^{K_4} \frac{1 - 1/x_{7j}x_{4k}}{u_{6j} - u_{5k} - \frac{i}{2}} \prod_{k=1}^{K_7} \frac{u_{6j} - u_{7k} + \frac{i}{2}}{u_{6j} - u_{7k} - \frac{i}{2}} \\
1 \stackrel{\cong}{=} \prod_{k=1}^{K_6} \frac{u_{7j} - u_{6k} + \frac{i}{2}}{u_{7j} - u_{6k} - \frac{i}{2}} \prod_{k=1}^{K_4} \frac{1 - 1/x_{7j}x_{4k}}}{1 - 1/x_{7j}x_{4k}}}$$

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Algebraic curves

strong coupling limit of su(2) BAE

- (ex) Thermodynamic limit of su(2) BAE $L, M \to \infty, u_j \sim L$ $L \ln \frac{u_j + i/2}{u_j - i/2} = \sum_{k \neq j}^M \ln \frac{u_j - u_k + i}{u_j - u_k - i} - 2\pi i n_j \xrightarrow[x_j \equiv \frac{u_j}{L}]{} \frac{1}{x_j} = \frac{2}{L} \sum_{k \neq j}^M \frac{1}{x_j - x_k} - 2\pi n_j$
- Bethe roots condensate and form cuts on the complex plane



$$C \equiv C_1 \cup \ldots \cup C_K$$

• Define density of roots

$$\rho(x) = \frac{1}{L} \sum_{j=1}^{M} \delta(x - x_j)$$

• Energy and momentum :

$$\gamma = \frac{\lambda}{8\pi^2 L^2} \sum_{j=1}^{M} \frac{1}{x_j^2} = \frac{\lambda}{8\pi^2 L} \int_C \frac{\rho(x)}{x^2} dx, \quad P = \frac{1}{L} \sum_{j=1}^{M} \frac{1}{x_j} = \int_C \frac{\rho(x)}{x} dx = 2\pi m$$

• Continuum BAE: $\frac{1}{x} = 2 \int_C dy \frac{\rho(y)}{x - y \pm i0} \pm 2\pi i \rho(x) - 2\pi n_x$

• Resolvent:
$$G(x) = \int_C dy \frac{\rho(y)}{x - y} = \frac{1}{L} \sum \frac{1}{x - x_k}$$

 $G(x + i0) + G(x - i0) = \frac{1}{x} + 2\pi n_x, \ G(x + i0) - G(x - i0) = -2\pi i \rho(x)$

• Quasi-momentum :

$$p(x) \equiv G(x) - \frac{1}{2x} \rightarrow p(x+i0) + p(x-i0) = 2\pi n_x$$

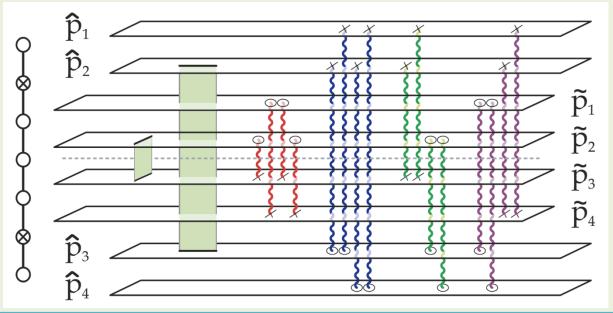
• Algebraic curve

$$\oint_{A_i} dp = 0, \quad \oint_{B_{ij}} dp = 2\pi (n_i - n_j), \quad \oint_{A_i} p(x) dx = 2\pi i \frac{M_i}{L}$$

Algebraic curves of AdS/CFT

- BAE leads to the following algebraic structure
 - Eight quasi-momenta $(\hat{p}_1, \hat{p}_2, \hat{p}_3, \hat{p}_4 | \tilde{p}_1, \tilde{p}_2, \tilde{p}_3, \tilde{p}_4)$
 - Discontinuities across the branch cuts
 - $p_i(x+i0) p_j(x-i0) = 2\pi n_{ij}, \qquad x \in \mathcal{C}_n^i$ $i \in \{\tilde{1}, \tilde{2}, \hat{2}, \hat{2}\}, \qquad j \in \{\tilde{3}, \tilde{4}, \hat{3}, \hat{4}\}$

$$\begin{array}{l} \mathcal{L}\mathcal{C}_{n}^{ij} \\ S^{5}: \quad (\tilde{1},\tilde{3})\,, (\tilde{1},\tilde{4})\,, (\tilde{2},\tilde{3})\,, (\tilde{2},\tilde{4}) \\ AdS_{5}: \quad (\hat{1},\hat{3})\,, (\hat{1},\hat{4})\,, (\hat{2},\hat{3})\,, (\hat{2},\hat{4}) \\ \\ \mathsf{Fermions}: \quad (\tilde{1},\hat{3})\,, (\tilde{1},\hat{4})\,, (\tilde{2},\hat{3})\,, (\tilde{2},\hat{4}) \\ \quad (\hat{1},\tilde{3})\,, (\hat{1},\tilde{4})\,, (\hat{2},\tilde{3})\,, (\hat{2},\tilde{4})\,. \end{array}$$



- Properties of quasi-momenta
 - Virasoro constraint :

 $\{\hat{p}_1, \hat{p}_2, \hat{p}_3, \hat{p}_4 | \tilde{p}_1, \tilde{p}_2, \tilde{p}_3, \tilde{p}_4\} = \frac{\{\alpha_\pm, \alpha_\pm, \beta_\pm, \beta_\pm | \alpha_\pm, \alpha_\pm, \beta_\pm, \beta_\pm\}}{x \pm 1} + \mathcal{O}(1)$

Conserved charges

 $\begin{pmatrix} \hat{p}_1 \\ \hat{p}_2 \\ \hat{p}_3 \\ \frac{\hat{p}_4}{\tilde{p}_1} \\ \tilde{p}_2 \\ \tilde{p}_3 \\ \tilde{p}_4 \end{pmatrix} = \frac{2\pi}{x} \begin{pmatrix} +\mathcal{E} - \mathcal{S}_1 + \mathcal{S}_2 \\ +\mathcal{E} + \mathcal{S}_1 - \mathcal{S}_2 \\ -\mathcal{E} - \mathcal{S}_1 - \mathcal{S}_2 \\ -\mathcal{E} + \mathcal{S}_1 + \mathcal{S}_2 \\ +\mathcal{J}_1 + \mathcal{J}_2 - \mathcal{J}_3 \\ +\mathcal{J}_1 - \mathcal{J}_2 + \mathcal{J}_3 \\ -\mathcal{J}_1 + \mathcal{J}_2 + \mathcal{J}_3 \\ -\mathcal{J}_1 - \mathcal{J}_2 - \mathcal{J}_3 \end{pmatrix} + \mathcal{O}\left(\frac{1}{x^2}\right)$ $E = \frac{\sqrt{\lambda}}{4\pi} \lim_{x \to \infty} x(\hat{p}_1(x) + \hat{p}_2(x))$

- Inversion relation from automorphism of psu(2,2|4) $\tilde{p}_{1,2}(x) = -\tilde{p}_{2,1}(1/x) 2\pi m$ $\tilde{p}_{3,4}(x) = -\tilde{p}_{4,3}(1/x) + 2\pi m$ $\hat{p}_{1,2,3,4}(x) = -\hat{p}_{2,1,4,3}(1/x)$
- Filling fraction

$$S_{ij} = \pm \frac{\sqrt{\lambda}}{8\pi^2 i} \oint_{\mathcal{C}_{ij}} \left(1 - \frac{1}{x^2}\right) p_i(x) dx$$

Lecture 2. Nonperturbative integrability S-matrix

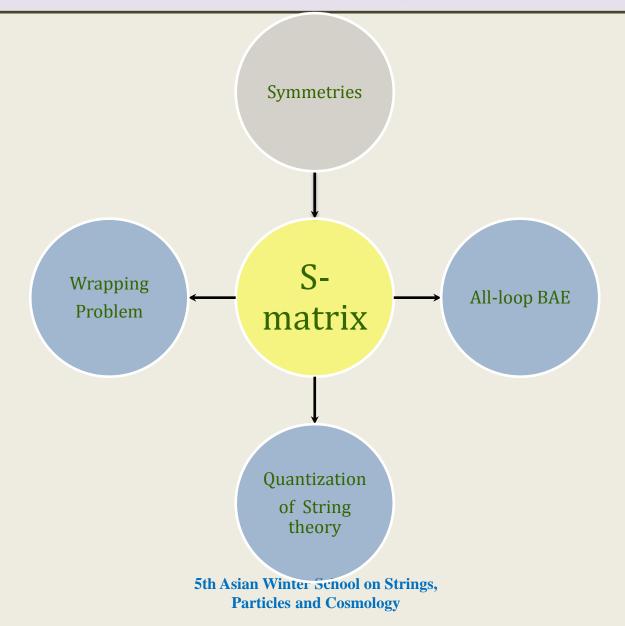
Plan

- 1. All-loop conjecture
- 2. Spin-chain S-matrix
- 3. World-sheet S-matrix
- 4. Symmetries of AdS/CFT
- 5. S-matrix of AdS/CFT
- 6. Dressing factor
- 7. Asymptotic Bethe ansatz

Fundamental questions

- Why does it work?
- Derivation rather than guess?
- Eigenvalues and Bethe ansatz for unknown spin chain Hamiltonian?
- What is the picture from the string theory?

S-matrix program



2011-04-01

Perturbative spin-chain S-matrix

su(2) spin-chain S-matrix

- XXX Hamiltonian $H = \sum_{l=1}^{L} [1 \mathbf{P}_{l,l+1}]$
- 2-magnon states

$$|\psi(p_1, p_2)\rangle = A_{XX}(12)|X(p_1)X(p_2)\rangle + A_{XX}(21)|X(p_2)X(p_1)\rangle,$$

$$|X(p_i)X(p_j)\rangle = \sum_{n_1 < n_2} e^{i(p_i n_1 + p_j n_2)} |\overset{1}{\overset{\downarrow}{Z}} \cdots \overset{n_1}{\overset{\downarrow}{X}} \cdots \overset{n_2}{\overset{\downarrow}{X}} \cdots \overset{L}{\overset{\downarrow}{X}} \rangle.$$

satisfy
$$H|\psi\rangle = E(p_1, p_2)|\psi\rangle = \left(4\sin^2\frac{p_1}{2} + 4\sin^2\frac{p_2}{2}\right)|\psi\rangle$$

if
$$A_{XX}(21) = S(p_2, p_1)A_{XX}(12)$$
 with $S(p_2, p_1) = \frac{u_2 - u_1 + i}{u_2 - u_1 - i}$
One-loop X-X scattering amplitude

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su(3) spin-chain S-matrix

Hamiltonian

$$H = \sum_{l=1}^{L} \left[1 - \mathbf{P}_{l,l+1} \right]$$

2-magnon states

 $|\psi\rangle = A_{XY}(12)|X(p_1)Y(p_2)\rangle + A_{XY}(21)|X(p_2)Y(p_1)\rangle + A_{YX}(12)|Y(p_1)X(p_2)\rangle + A_{YX}(21)|Y(p_2)X(p_1)\rangle$ $|\phi_1(p_i)\phi_2(p_j)\rangle = \sum_{i=1}^{n_1} e^{i(p_in_1+p_jn_2)} | \overset{1}{\overset{j}{Z}} \cdots \overset{n_1}{\phi_1} \cdots \overset{n_2}{\overset{j}{\varphi_2}} \cdots \overset{L}{\overset{j}{Z}} \rangle$ **satisfy** $H|\psi\rangle = E(p_1, p_2)|\psi\rangle = \left(4\sin^2\frac{p_1}{2} + 4\sin^2\frac{p_2}{2}\right)|\psi\rangle$ One-loop X-Y scattering amplitude if $\begin{pmatrix} A_{XY}(21) \\ A_{YX}(21) \end{pmatrix} = \left[\begin{pmatrix} R(p_2, p_1) & T(p_2, p_1) \\ T(p_2, p_1) & R(p_2, p_1) \end{pmatrix} \left(\begin{pmatrix} A_{XY}(12) \\ A_{YX}(12) \end{pmatrix} \right]$ $T(p_2, p_1) = \frac{u_2 - u_1}{u_2 - u_1 - i}, \qquad R(p_2, p_1) = \frac{i}{u_2 - u_1 - i}$ S-matrix $\mathbf{S} = \begin{pmatrix} S & & \\ & T & R \\ & R & T \\ & & & S \end{pmatrix} \propto \begin{pmatrix} u+i & & \\ & u & i \\ & i & u \\ & & & u+i \end{pmatrix} \stackrel{\text{su(2) R-matrix}}{\leftarrow} \text{symmetry of magnons}$

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so(6) spin-chain S-matrix

• Hamiltonian
$$H = \sum_{l=1}^{L} \left(1 - \mathbf{P}_{l,l+1} + \frac{1}{2} \mathbf{K}_{l,l+1} \right) \qquad \mathbf{K} \Phi_{i} \otimes \Phi_{j} = \delta_{ij} \left(\sum_{k=1}^{6} \Phi_{k} \otimes \Phi_{k} \right)$$
• Same as su(3) except the cases like $|X(p_{2})\overline{X}(p_{1})\rangle$

$$\phi = \sum_{\phi = X,Y} \left[A_{\phi\overline{\phi}}(12)|\phi(p_{1})\overline{\phi}(p_{2})\rangle + A_{\phi\overline{\phi}}(21)|\phi(p_{2})\overline{\phi}(p_{1})\rangle + A_{\overline{\phi}\phi}(12)|\overline{\phi}(p_{1})\phi(p_{2})\rangle + A_{\overline{\phi}\phi}(21)|\overline{\phi}(p_{1})\phi(p_{1})\rangle \right]$$

$$+ A_{\overline{Z}}|\overline{Z}(p_{1} + p_{2})\rangle \qquad 1 \qquad 1 \qquad 1 \qquad n_{1} \qquad n_{2} \qquad L \qquad |\overline{Z}(p)\rangle = \sum_{n} e^{ipn}|\frac{1}{Z} \cdots \frac{1}{Z} \cdots \frac{1}{Z} \right)$$
satisfy $H|\psi = E(p_{1}, p_{2})|\psi = \left(4\sin^{2}\frac{p_{1}}{2} + 4\sin^{2}\frac{p_{2}}{2} \right)|\psi\rangle$
if $\begin{pmatrix} A_{X\overline{X}}(21) \\ A_{\overline{X}\overline{X}}(21) \\ A_{\overline{Y}\overline{Y}}(21) \\ A_{\overline{Y}\overline{Y}}(21) \end{pmatrix} = \left[\begin{pmatrix} \mathcal{R}(p_{2}, p_{1}) \quad \mathcal{R}(p_{2}, p_{1}) \quad \mathcal{S}(p_{2}, p_{1}) \quad \mathcal{S}(p_{2}, p_{1}) \\ \mathcal{S}(p_{2}, p_{1}) \quad \mathcal{S}(p_{2}, p_{1}) \quad \mathcal{R}(p_{2}, p_{1}) \\ \mathcal{S}(p_{2}, p_{1}) \quad \mathcal{S}(p_{2}, p_{1}) \quad \mathcal{R}(p_{2}, p_{1}) \end{pmatrix} \right] \begin{pmatrix} A_{X\overline{X}}(12) \\ A_{\overline{X}\overline{X}}(12) \\ A_{\overline{Y}\overline{Y}}(12) \\ A_{\overline{Y}\overline{Y}}(12) \end{pmatrix}$

$$\mathcal{T}(p_{2}, p_{1}) = \frac{(u_{2}-u_{1})^{2}}{(u_{2}-u_{1}-i)(u_{2}-u_{1}+i)}, \quad \mathcal{R}(p_{2}, p_{1}) = \frac{-1}{(u_{2}-u_{1}-i)(u_{2}-u_{1}+i)}, \quad \mathcal{S}(p_{2}, p_{1}) = \frac{i(u_{2}-u_{1})}{(u_{2}-u_{1}-i)(u_{2}-u_{1}+i)}$$

One-loop so(6) scattering amplitude

$$\begin{array}{lll} & \text{so(6) S-matrix can be factorized into a tensor product !} \\ & \text{Define } X = 12, \quad \overline{X} = 21, \quad Y = 22, \quad \overline{Y} = 11 \quad (u \equiv u_2 - u_1) \\ & \text{S}_{XX}^{XX} = \mathbf{S}_{(12)(12)}^{(12)(12)} = S_0 \mathbf{S}_{11}^{11} \mathbf{S}_{22}^{22}, \quad \mathbf{S}_{XY}^{YX} = \mathbf{S}_{(12)(22)}^{(12)(22)} = S_0 \mathbf{S}_{12}^{21} \mathbf{S}_{22}^{22}, \quad \mathbf{S}_{XY}^{XY} = \mathbf{S}_{(12)(12)}^{(12)(22)} = S_0 \mathbf{S}_{12}^{12} \mathbf{S}_{22}^{22}, \\ & \text{S}_{X\overline{X}}^{X\overline{X}} = \mathbf{S}_{(12)(21)}^{(12)(21)} = S_0 \mathbf{S}_{12}^{12} \mathbf{S}_{21}^{21}, \quad \mathbf{S}_{\overline{X\overline{X}}}^{\overline{X}} = \mathbf{S}_{(12)(21)}^{(21)(21)} = S_0 \mathbf{S}_{12}^{12} \mathbf{S}_{21}^{21}, \quad \mathbf{S}_{\overline{X\overline{X}}}^{\overline{X}} = \mathbf{S}_{(12)(21)}^{(21)(21)} = S_0 \mathbf{S}_{12}^{12} \mathbf{S}_{21}^{21}, \\ & \frac{u + i}{u - i} = \frac{1}{(u - i)(u + i)} \cdot (u + i) \cdot (u + i) = \frac{1}{(u - i)(u + i)} \cdot u \cdot (u + i) \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot u \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)(u + i)} = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) + \frac{1}{(u - i)(u + i)} \cdot (u + i) + i = \frac{1}{(u - i)(u + i)} \cdot (u + i) + \frac{1}{(u - i)(u + i)} \cdot (u + i) + \frac{1}{(u - i)(u + i)} \cdot (u + i) + \frac{1}{(u - i)(u + i)} \cdot (u + i) + \frac{1}{(u - i)(u + i)} \cdot (u + i) + \frac{1}{(u - i)(u + i)} \cdot (u + i) + \frac{1}{(u - i$$

$$\mathbf{S}_{so(6)} = S_0 \cdot \mathbf{S} \otimes \mathbf{S}, \qquad S_0 = (u^2 + 1)^{-1}$$
$$\mathbf{S} = \mathbf{S} = \begin{pmatrix} u+i & & \\ & u & i & \\ & & i & u & \\ & & & u+i \end{pmatrix}$$

 $SO(6) \rightarrow SO(4) \simeq SU(2) \times SU(2)$

Worldsheet S-matrix

- So far we considered S-matrix from gauge theory spin chains
- String perturbative computation (large λ) of S-matrix is also possible
 - Fluctuation around BMN in light-cone gauge
 - Effective Lagrangian contains
 - Quadratic terms in terms of oscillator algebra [BMN limit]
 - Quartic interaction terms

$$\mathcal{L}_{\text{int.}} \sim \frac{1}{\sqrt{\lambda}} \Big[x^2 (p_y^2 + y'^2) - y^2 (p_x^2 + x'^2) + 2x^2 x'^2 - 2y^2 y'^2 \Big]$$

Can compute scattering amplitudes on the worldsheet
 Klose,McLoughlin,Roiban,Zarembo (2007)

Beyond perturbation

Excitation spectrum

- Scalar sector $su(3) : \{Z, X, Y\} \rightarrow su(2) : \operatorname{Tr} [Z \cdots ZXZ \cdots ZY \cdots Z]$ $so(6) : \{Z, X, Y, \overline{Z}, \overline{X}, \overline{Y}\} \rightarrow so(4) \simeq su(2)_a \times su(2)_{\dot{a}} : \operatorname{Tr} [Z \cdots XZ\overline{X}Z \cdots ZY \cdots Z\overline{Y}]$
- Fermion sector $su(2,2) \supset su(2)_{\alpha} \times su(2)_{\dot{\alpha}}$ $a, \dot{a} = 1, 2; \alpha, \dot{\alpha} = 3, 4$
- Full sector $\begin{array}{c|c} & so(6)_{R} \\ \hline A_{\mu} & 1 \\ \chi^{A}_{\alpha} & \bar{\chi}^{\bar{A}}_{\bar{\alpha}} \\ \Phi^{a} & \phi^{a} \end{array} \begin{array}{c} \text{Tr} \left[Z \cdots \chi_{1} Z \cdots \chi_{2} Z \cdots \chi_{3} Z \cdots Z \chi_{n} Z \cdots \right] \\ \hline Any \text{ field except Z, } \overline{Z} \\ \Phi_{a\dot{\alpha}} = \phi_{a}\phi_{\dot{\alpha}}, \quad \chi^{a}_{\dot{\alpha}} = \phi_{a}\psi_{\dot{\alpha}}, \quad \chi^{\dot{\alpha}}_{\alpha} = \psi_{\alpha}\phi_{\dot{\alpha}}, \quad \mathcal{D}_{\alpha\dot{\alpha}} = \psi_{\alpha}\psi_{\dot{\alpha}} \end{array}$
 - Excitations of N=4 SYM:

$$(\square;\square) = \Phi_{a\dot{a}} \oplus \chi^{a}_{\dot{\alpha}} \oplus \chi^{\dot{a}}_{\alpha} \oplus D_{\alpha\dot{\alpha}}$$

- Each SYM field is a "meson" made of a "quark" and an "anti-quark"

$$\square = (\phi_a | \psi_\alpha) = (\phi_1, \phi_2 | \psi_3, \psi_4)$$

Centrally extended su(2|2) symmetry

• Symmetry of the excitations: su(2|2) x su(2|2)

Beisert (2008)

$$\left(\begin{array}{c|c} \mathbb{L}_{a}^{\ b} & \mathbb{Q}_{\alpha}^{\ b} \\ \hline \mathbb{Q}_{a}^{\dagger\beta} & \mathbb{R}_{\alpha}^{\ \beta} \end{array}\right), \quad \left(\begin{array}{c|c} \mathbb{L}_{\dot{a}}^{\ b} & \mathbb{Q}_{\dot{\alpha}}^{\ b} \\ \hline \mathbb{Q}_{\dot{a}}^{\dagger\beta} & \mathbb{R}_{\dot{\alpha}}^{\ \beta} \end{array}\right)$$

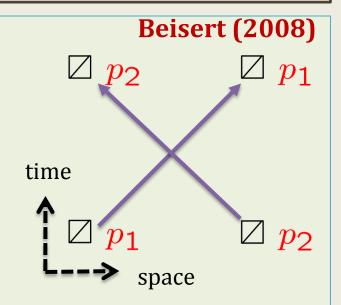
- Fundamental representation $\square = (\phi_a | \psi_\alpha) = (\phi_1, \phi_2 | \psi_3, \psi_4)$
- Commutation relations

$$\begin{split} \begin{bmatrix} \mathbb{L}_{a}^{b}, \mathbb{J}_{c} \end{bmatrix} &= \delta_{c}^{b} \mathbb{J}_{a} - \frac{1}{2} \delta_{a}^{b} \mathbb{J}_{c}, \quad \begin{bmatrix} \mathbb{R}_{\alpha}^{\beta}, \mathbb{J}_{\gamma} \end{bmatrix} = \delta_{\gamma}^{\beta} \mathbb{J}_{\alpha} - \frac{1}{2} \delta_{\alpha}^{\beta} \mathbb{J}_{\gamma}, \\ \begin{bmatrix} \mathbb{L}_{a}^{b}, \mathbb{J}^{c} \end{bmatrix} &= -\delta_{a}^{c} \mathbb{J}^{b} + \frac{1}{2} \delta_{a}^{b} \mathbb{J}^{c}, \quad \begin{bmatrix} \mathbb{R}_{\alpha}^{\beta}, \mathbb{J}^{\gamma} \end{bmatrix} = -\delta_{\alpha}^{\gamma} \mathbb{J}^{\beta} + \frac{1}{2} \delta_{\alpha}^{\beta} \mathbb{J}^{\gamma} \\ \{\mathbb{Q}_{\alpha}^{a}, \mathbb{Q}_{\beta}^{b}\} &= \epsilon_{\alpha\beta} \epsilon^{ab} \mathbb{C}, \quad \{\mathbb{Q}_{a}^{\dagger\alpha}, \mathbb{Q}_{b}^{\dagger\beta}\} = \epsilon^{\alpha\beta} \epsilon_{ab} \mathbb{C}^{\dagger}, \\ \{\mathbb{Q}_{\alpha}^{a}, \mathbb{Q}_{b}^{\dagger\beta}\} &= \delta_{b}^{a} \mathbb{R}_{\alpha}^{\beta} + \delta_{\alpha}^{\beta} \mathbb{L}_{b}^{a} + \frac{1}{2} \delta_{b}^{a} \delta_{\alpha}^{\beta} \mathbb{H} \end{split}$$

S-matrix from su(2|2) symmetry

- S-matrix $S = S \otimes \dot{S}$, $S = \dot{S}$
- Focus only one from now on
- S-matrix should commute with su(2|2)

$$\mathbf{S}(p_1, p_2), \left(\frac{\mathbb{L}_a^b \mid \mathbb{Q}_\alpha^b}{\mathbb{Q}_a^{\dagger\beta} \mid \mathbb{R}_\alpha^{\beta}} \right) = 0$$



- Reformulate as algebraic problem
- Yang-Baxter equation Arutyunov, Frolov, Zamaklar (2008) $S_{12}(p_1, p_2) S_{13}(p_1, p_3) S_{23}(p_2, p_3) = S_{23}(p_2, p_3) S_{13}(p_1, p_3) S_{12}(p_1, p_2)$

Zamolodchikov-Faddeev algebra

- Two-particle state $|\phi_i(p_1)\phi_j(p_2)\rangle = \mathcal{A}_i^{\dagger}(p_1)\mathcal{A}_j^{\dagger}(p_2)|0\rangle$ $i = (a, \alpha) = 1, 2, 3, 4$
 - Latin Greek

• S-matrix defines ZF algebra

$$\mathcal{A}_{i}^{\dagger}(p_{1}) \,\mathcal{A}_{j}^{\dagger}(p_{2}) = S_{ij}^{i'j'}(p_{1}, p_{2}) \,\mathcal{A}_{j'}^{\dagger}(p_{2}) \,\mathcal{A}_{i'}^{\dagger}(p_{1})$$

- Yang-Baxter equation appears from associativity $\mathcal{A}_{i}^{\dagger}(p_{1})\mathcal{A}_{j}^{\dagger}(p_{2})\mathcal{A}_{k}^{\dagger}(p_{3}) \rightarrow \mathcal{A}_{k'}^{\dagger}(p_{3})\mathcal{A}_{j'}^{\dagger}(p_{2})\mathcal{A}_{i'}^{\dagger}(p_{1})$
- Acting bosonic su(2|2) generators on ZF generators

$$\begin{bmatrix} \mathbb{L}_{a}^{\ b}, \mathcal{A}_{c}^{\dagger}(p) \end{bmatrix} = (\delta_{c}^{b} \delta_{a}^{d} - \frac{1}{2} \delta_{a}^{b} \delta_{c}^{d}) \mathcal{A}_{d}^{\dagger}(p), \qquad \begin{bmatrix} \mathbb{L}_{a}^{\ b}, \mathcal{A}_{\gamma}^{\dagger}(p) \end{bmatrix} = 0,$$
$$\begin{bmatrix} \mathbb{R}_{\alpha}^{\ \beta}, \mathcal{A}_{\gamma}^{\dagger}(p) \end{bmatrix} = (\delta_{\gamma}^{\beta} \delta_{\alpha}^{\delta} - \frac{1}{2} \delta_{\alpha}^{\beta} \delta_{\gamma}^{\delta}) \mathcal{A}_{\delta}^{\dagger}(p), \qquad \begin{bmatrix} \mathbb{R}_{\alpha}^{\ \beta}, \mathcal{A}_{c}^{\dagger}(p) \end{bmatrix} = 0$$

• Acting fermionic su(2|2) generators on ZF generators

$$\begin{split} \mathbb{Q}_{\alpha}^{\ a} \mathcal{A}_{b}^{\dagger}(p) &= e^{-ip/2} \left[a(p) \delta_{b}^{a} \mathcal{A}_{\alpha}^{\dagger}(p) + \mathcal{A}_{b}^{\dagger}(p) \mathbb{Q}_{\alpha}^{\ a} \right], \\ \mathbb{Q}_{\alpha}^{\ a} \mathcal{A}_{\beta}^{\dagger}(p) &= e^{-ip/2} \left[b(p) \epsilon_{\alpha\beta} \epsilon^{ab} \mathcal{A}_{b}^{\dagger}(p) - \mathcal{A}_{\beta}^{\dagger}(p) \mathbb{Q}_{\alpha}^{\ a} \right], \\ \mathbb{Q}_{a}^{\dagger \alpha} \mathcal{A}_{b}^{\dagger}(p) &= e^{ip/2} \left[c(p) \epsilon_{ab} \epsilon^{\alpha\beta} \mathcal{A}_{\beta}^{\dagger}(p) + \mathcal{A}_{b}^{\dagger}(p) \mathbb{Q}_{a}^{\dagger \alpha} \right], \\ \mathbb{Q}_{a}^{\dagger \alpha} \mathcal{A}_{\beta}^{\dagger}(p) &= e^{ip/2} \left[d(p) \delta_{\beta}^{\alpha} \mathcal{A}_{a}^{\dagger}(p) - \mathcal{A}_{\beta}^{\dagger}(p) \mathbb{Q}_{a}^{\dagger \alpha} \right] \end{split}$$

Central charges act on

$$\mathbb{C} \mathcal{A}_{i}^{\dagger}(p) = e^{-ip} \left[\mathbf{a}(p)\mathbf{b}(p)\mathcal{A}_{i}^{\dagger}(p) + \mathcal{A}_{i}^{\dagger}(p)\mathbb{C} \right],$$

$$\mathbb{C}^{\dagger} \mathcal{A}_{i}^{\dagger}(p) = e^{ip} \left[\mathbf{c}(p)\mathbf{d}(p)\mathcal{A}_{i}^{\dagger}(p) + \mathcal{A}_{i}^{\dagger}(p)\mathbb{C}^{\dagger} \right],$$

$$\mathbb{H} \mathcal{A}_{i}^{\dagger}(p) = \left[\mathbf{a}(p)\mathbf{d}(p) + \mathbf{b}(p)\mathbf{c}(p) \right] \mathcal{A}_{i}^{\dagger}(p) + \mathcal{A}_{i}^{\dagger}(p) \mathbb{H}$$

- ZF generators form a su(2|2) representation if ad bc = 1
- Unitary representation if $d = a^*$, $c = b^*$ $\{\mathbb{Q}^a_{\alpha}, \mathbb{Q}^{\dagger\beta}_b\} = \delta^a_b \mathbb{R}^\beta_{\alpha} + \delta^\beta_{\alpha} \mathbb{L}^a_b + \frac{1}{2} \delta^a_b \delta^\beta_{\alpha} \mathbb{H}$
- Acting \mathbb{C} on two-particle scattering states $e^{-ip_1a(p_1)b(p_1)} + e^{-i(p_1+p_2)a(p_2)b(p_2)} = e^{-ip_2a(p_2)b(p_2)} + e^{-i(p_1+p_2)a(p_1)b(p_1)}$

$$a(p)b(p) = ig(e^{ip} - 1)$$

some constant

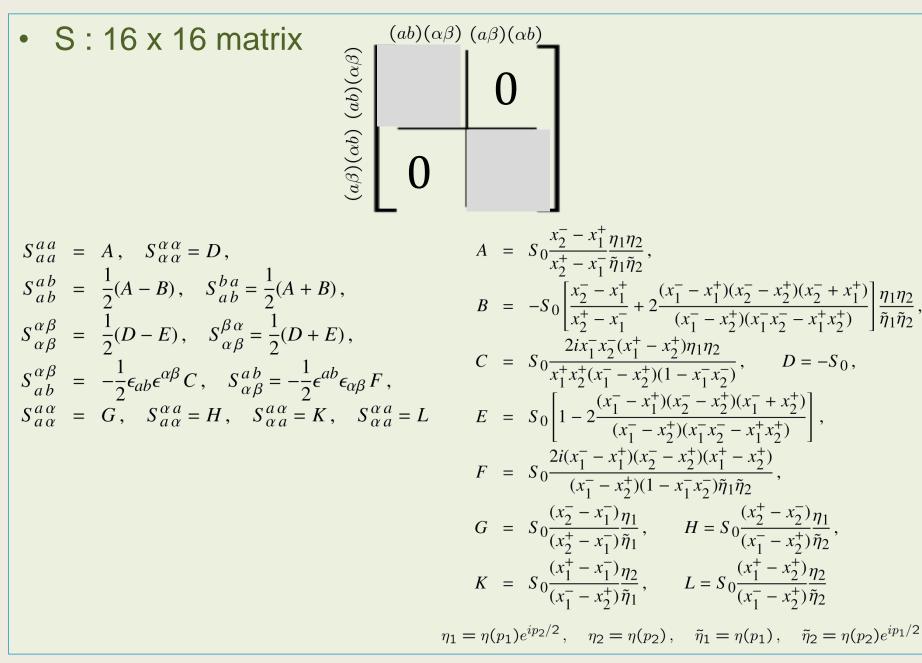
• From these one can determine the parameters

$$\begin{aligned} a &= \sqrt{g}\eta, \quad b = \sqrt{g}\frac{i}{\eta}\left(\frac{x^{+}}{x^{-}} - 1\right), \quad c = -\sqrt{g}\frac{\eta}{x^{+}}, \quad d = \sqrt{g}\frac{x^{+}}{i\eta}\left(1 - \frac{x^{-}}{x^{+}}\right) \\ x^{+} &+ \frac{1}{x^{+}} - x^{-} - \frac{1}{x^{-}} = \frac{i}{g}, \quad \frac{x^{+}}{x^{-}} = e^{ip} \end{aligned}, \quad \eta = e^{ip/4}\sqrt{i(x^{-} - x^{+})} \\ x^{\pm} &= e^{\pm i\frac{p}{2}}\left[\frac{1 + \sqrt{1 + 16g^{2}\sin^{2}\frac{p}{2}}}{4g\sin\frac{p}{2}}\right], \quad u = \frac{1}{2}\cot\frac{p}{2}\sqrt{1 + 16g^{2}\sin^{2}\frac{p}{2}} \end{aligned}$$
Central charge
$$\mathbb{H} = -ig\left(x^{+} - \frac{1}{x^{+}} - x^{-} + \frac{1}{x^{-}}\right) = \sqrt{1 + 16g^{2}\sin^{2}\frac{p}{2}} \end{aligned}$$

- Comparing with conformal dimension at weak coupling limit and BMN limit, one can conclude $\sqrt{\lambda}$

$$g = g \equiv \frac{\sqrt{\lambda}}{4\pi}$$

- Act the generators on the two-particle scattering states and impose $\left(\frac{\mathbb{L}_{a}^{\ b} \mid \mathbb{Q}_{\alpha}^{\ b}}{\mathbb{Q}_{a}^{\dagger\beta} \mid \mathbb{R}_{\alpha}^{\ \beta}}\right) \mathcal{A}_{i}^{\dagger}(p_{1}) \mathcal{A}_{j}^{\dagger}(p_{2}) |0\rangle = S_{ij}^{i'j'}(p_{1}, p_{2}) \left(\frac{\mathbb{L}_{a}^{\ b} \mid \mathbb{Q}_{\alpha}^{\ b}}{\mathbb{Q}_{\alpha}^{\dagger\beta} \mid \mathbb{R}_{\alpha}^{\ \beta}}\right) \mathcal{A}_{j'}^{\dagger}(p_{2}) \mathcal{A}_{i'}^{\dagger}(p_{1}) |0\rangle$
 - Generates a set of linear coupled equations for the S-matrix elements and can be solved uniquely up to an overall function



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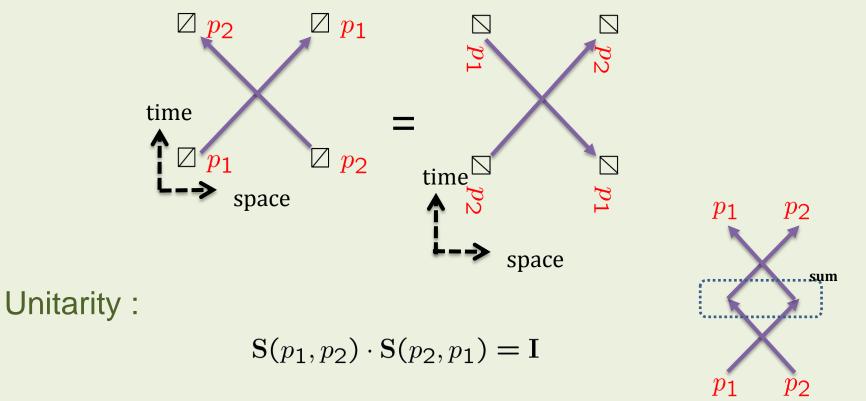
su(2|2) S-matrix

(a_1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0)
	0	a_{10}	0	0	a_6	0	0	0	0	0	0	0	0	0	0	0
	0	0	a_{10}	0	0	0	0	0	a_6	0	0	0	0	0	0	0
	0	0	0	$-a_{2}$	0	0	$-a_{7}$	0	0	a_7	0	0	$a_1 + a_2$	0	0	0
	0	a_5	0	0	<i>a</i> 9	0	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	a_3	0	0	0	0	0	0	0	0	0	0
	0	0	0	$-a_{8}$	0	0	$-a_{4}$	0	0	$a_3 + a_4$	0	0	a_8	0	0	0
	0	0	0	0	0	0	0	a_9	0	0	0	0	0	a_5	0	0
	0	0	a_5	0	0	0	0	0	<i>a</i> 9	0	0	0	0	0	0	0
	0	0	0	a_8	0	0	$a_3 + a_4$	0	0	$-a_{4}$	0	0	$-a_8$	0	0	0
	0	0	0	0	0	0	0	0	0	0	a_3	0	0	0	0	0
	0	0	0	0	0	0	0	0	0	0	0	a_9	0	0	a_5	0
	0	0	0	$a_1 + a_2$	0	0	<i>a</i> 7	0	0	$-a_{7}$	0	0	$-a_{2}$	0	0	0
	0	0	0	0	0	0	0	a_6	0	0	0	0	0	a_{10}	0	0
	0	0	0	0	0	0	0	0	0	0	0	a_6	0	0	a_{10}	0
(0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	a_1 /

R-matrix of Hubbard model

Dressing factor

- YBE, symmetry DO NOT determine the overall function
 - Crossing and unitarity along with bound state spectrum
- Crossing symmetry from space ← → time



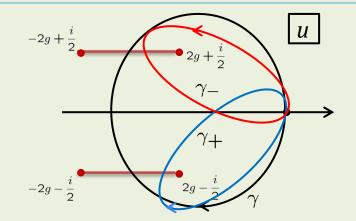
• Crossing-unitarity from a singlet operator

$$I(p) = C_{\uparrow}^{ij}(p) A_{l}^{\dagger}(p) A_{j}^{\dagger}(\bar{p}) \equiv -i\epsilon^{ab}A_{a}^{\dagger}(p) A_{b}^{\dagger}(\bar{p}) + \epsilon^{\alpha\beta}A_{\alpha}^{\dagger}(p) A_{\beta}^{\dagger}(\bar{p}) \qquad x^{\pm}(\bar{p}) = \frac{1}{x^{\pm}(p)}$$

$$\exists, p \to -\mathbb{H}, -p$$
Charge conjugation

$$p_{2} \quad \bar{p}_{2} \qquad p_{2} \quad \bar{p}_{2} \qquad A_{1}^{\dagger}(p_{1}) I(p_{2}) = C^{jk}(p_{2}) A_{1}^{\dagger}(p_{1}) A_{j}^{\dagger}(p_{2}) A_{k}^{\dagger}(\bar{p}_{2}) = C^{jk}(p_{2}) S_{ij}^{ij'}(p_{1}, p_{2}) A_{k}^{\dagger}(p_{1}) A_{k}^{\dagger}(\bar{p}_{2}) = C^{jk}(p_{2}) S_{ij}^{ij'}(p_{1}, p_{2}) A_{k}^{\dagger}(p_{1}) A_{k}^{\dagger}(\bar{p}_{2}) = C^{jk}(p_{2}) S_{ij}^{ij'}(p_{1}, p_{2}) A_{k}^{\dagger}(p_{2}) A_{k}^{\dagger}(\bar{p}_{2}) A_{ij'}(p_{1}) A_{k}^{\dagger}(\bar{p}_{2}) = C^{jk}(p_{2}) S_{ij}^{ij'}(p_{1}, p_{2}) S_{ij'}^{ij'}(p_{1}, p_{2}) A_{k}^{\dagger}(p_{2}) A_{k}^{\dagger}(\bar{p}_{2}) A_{ij'}(p_{1}) A_{k}^{\dagger}(\bar{p}_{2}) A_{k'}(\bar{p}_{2}) A_{ij'}(p_{1}) A_{k}^{\dagger}(p_{2}) A_{k'}(\bar{p}_{2}) A_{ij'}(p_{1}) A_{k}^{\dagger}(p_{2}) A_{k'}(\bar{p}_{2}) A_{ij'}(p_{2}) A_{k'}(\bar{p}_{2}) A_{ij'}(p_{2}) A_{k'}(\bar{p}_{2}) A_{ij'}(p_{2}) A_{k'}(\bar{p}_{2}) A_{ij'}(p_{2}) A_{ij'}(p_{2}) A_{k'}(\bar{p}_{2}) A_{ij'}(p_{2}) A_{k'}(\bar{p}_{2}) A_{ij'}(p_{2}) A_{k'}(\bar{p}_{2}) A_{ij'}(p_{2}) A_{k'}(\bar{p}_{2}) A_{ij'}(p_{2}) A_{ij'}(p$$

- For real **u** : $|x^{\pm}| > 1$
- Branch cuts occur when
- Crossing cuts: $\gamma : x^{\pm} \rightarrow \frac{1}{x^{\pm}}$ $\gamma_{-} : x^{-} \rightarrow \frac{1}{x^{-}}$ $\gamma_{+} : x^{+} \rightarrow \frac{1}{x^{+}}$



• Janik relation can be written as

$$\sigma(x,y)\,\sigma^{\gamma}(x,y) = \frac{1 - \frac{1}{x^+y^+}}{1 - \frac{x^-}{y^-}}\,\frac{1 - \frac{x^-}{y^+}}{1 - \frac{1}{x^+y^-}} \qquad \qquad x^{\pm} = x\left(u \pm \frac{i}{2}\right), \ y^{\pm} = x\left(v \pm \frac{i}{2}\right)$$

- Apply γ_{-} contour $\sigma^{\gamma_{-}}(x,y) \sigma^{\gamma_{+}}(x,y) = \frac{1 - \frac{1}{x^{+}y^{+}}}{1 - \frac{1}{x^{-}y^{-}}} \frac{1 - \frac{1}{x^{-}y^{+}}}{1 - \frac{1}{x^{+}y^{-}}}$ (*)
- Define a translation $D = e^{\frac{i}{2}\partial_u}$: $Df(u) = f(u + i/2) = e^{D \ln f} = f^D$

• RHS of (*)

$$\frac{1-\frac{1}{x^+y^+}}{1-\frac{1}{x^-y^-}}\frac{1-\frac{1}{x^-y^+}}{1-\frac{1}{x^+y^-}} = \frac{1-\frac{1}{x^+y^+}}{1-\frac{1}{x^-y^-}} = \left(\frac{1-\frac{1}{xy^+}}{1-\frac{1}{xy^-}}\right)^D \left(\frac{1-\frac{1}{xy^+}}{1-\frac{1}{xy^-}}\right)^{D-1} = \left(\frac{1-\frac{1}{xy^+}}{1-\frac{1}{xy^-}}\right)^{D+D^{-1}} = \left(\frac{x-\frac{1}{y^+}}{x-\frac{1}{y^-}}\right)^{D+D^{-1}}$$

• Define
$$\sigma(x,y) = \exp\left\{i\left[\chi(x^+,y^-) + \chi(x^-,y^+) - \chi(x^+,y^+) - \chi(x^-,y^-)\right]\right\}$$

 $\sigma_1(x,y) = \exp\left\{i\left[\chi(x,y^-) - \chi(x,y^+)\right]\right\}$

• Then,

$$\sigma^{\gamma_{-}}(x,y) = \exp\left\{i\left[\chi(x^{+},y^{-}) + \chi(1/x^{-},y^{+}) - \chi(x^{+},y^{+}) - \chi(1/x^{-},y^{-})\right]\right\} = \frac{\sigma_{1}(x^{+},y)}{\sigma_{1}(1/x^{-},y)}$$

$$\sigma^{\gamma_{+}}(x,y) = \exp\left\{i\left[\chi(1/x^{+},y^{-}) + \chi(x^{-},y^{+}) - \chi(1/x^{+},y^{+}) - \chi(x^{-},y^{-})\right]\right\} = \frac{\sigma_{1}(1/x^{+},y)}{\sigma_{1}(x^{-},y)}$$
• LHS of (*)

$$\frac{\sigma_1(x^+, y)}{\sigma_1(x^-, y)} \frac{\sigma_1(1/x^+, y)}{\sigma_1(1/x^-, y)} = \frac{[\sigma_1(x, y)\sigma_1(1/x, y)]^D}{[\sigma_1(x, y)\sigma_1(1/x, y)]^{D^{-1}}} = [\sigma_1(x, y)\sigma_1(1/x, y)]^{D-D^{-1}} = \left(\frac{x - \frac{1}{y^+}}{x - \frac{1}{y^-}}\right)^{D+D^{-1}}$$
$$\sigma_1(x, y)\sigma_1(1/x, y) = \left(\frac{x - \frac{1}{y^+}}{x - \frac{1}{y^-}}\right)^{\frac{D+D^{-1}}{D-D^{-1}}}$$

$$\sigma_{1}(x,y)\sigma_{1}(1/x,y) = \exp\left\{i\left[\chi(x,y^{-}) - \chi(x,y^{+}) + \chi(1/x,y^{-}) - \chi(1/x,y^{+})\right]\right\} = \frac{\exp\left\{i\left[\chi(x,y^{-}) + \chi(1/x,y^{-})\right]\right\}}{\exp\left\{i\left[\chi(x,y^{+}) + \chi(1/x,y^{+})\right]\right\}}$$
$$e^{i[\chi(x,y) + \chi(1/x,y)]} = \left(\frac{x - \frac{1}{y}}{\sqrt{x}}\right)^{-f(D)}, \quad f(D) = \frac{D + D^{-1}}{D - D^{-1}}$$

$$e^{i[\chi(x,y)+\chi(1/x,y)+\chi(x,1/y)+\chi(1/x,1/y)]} = \left(\frac{x-\frac{1}{y}}{\sqrt{x}} \cdot \frac{x-y}{\sqrt{x}}\right)^{-f(D)} = \left(x+\frac{1}{x}-y-\frac{1}{y}\right)^{-f(D)} = (u-v)^{-f(D)}$$

• Using
$$f(D) = \frac{D+D^{-1}}{D-D^{-1}} = \frac{D^{-2}}{1-D^{-2}} - \frac{D^2}{1-D^2} = \sum_{n=1}^{\infty} D^{-2n} - \sum_{n=1}^{\infty} D^{2n}$$

• One can find $(u-v)^{\sum_{n=1}^{\infty} D^{-2n} - \sum_{n=1}^{\infty} D^{2n}} = \prod_{n=1}^{\infty} \frac{u-v-in}{u-v+in} = \frac{\Gamma(1+iu-iv)}{\Gamma(1-iu+iv)}$
 $e^{i[\chi(x,y)+\chi(1/x,y)+\chi(x,1/y)+\chi(1/x,1/y)]} = \frac{\Gamma(1+iu-iv)}{\Gamma(1-iu+iv)}$

• In terms of *u*, *v* near the cuts

 $\chi(u+i0,v+i0) + \chi(u-i0,v+i0) + \chi(u+i0,v-i0) + \chi(u-i0,v-i0) = \frac{1}{i} \ln \frac{\Gamma(1+iu-iv)}{\Gamma(1-iu+iv)}$

• Riemann-Hilbert problem $\xi(u+i0) - \xi(u-i0) = F(u) \rightarrow \xi(u) = \int_{\Gamma} \frac{dw}{2\pi i} \frac{f(w)}{w-u}$ $\chi(u) \equiv \left(x(u) - \frac{1}{x(u)}\right) \xi(u), \ F(u) \equiv \left(x(u) - \frac{1}{x(u)}\right) f(u) \rightarrow \chi(u+i0) + \chi(u-i0) = F(u) \rightarrow \chi(u) = K_u \star F \equiv \int_{-2g+i0}^{2g+i0} \frac{dw}{2\pi i} \frac{x(u) - \frac{1}{x(w)}}{x(w) - \frac{1}{x(w)}} \frac{1}{w-u} F(w)$

 $\chi(u,v) = \frac{1}{i} K_v \star K_u \star \frac{\Gamma(1+iu-iv)}{\Gamma(1-iu+iv)}$ Zhukovsky map $z = x(w), \quad z + \frac{1}{z} = \frac{w}{g}, \quad x = x(u), \quad x + \frac{1}{x} = \frac{u}{g}$ $K_u \star F \equiv \int_{-2g+i0}^{2g+i0} \frac{dw}{2\pi i} \frac{x(u) - \frac{1}{x(u)}}{x(w) - \frac{1}{x(w)}} \frac{1}{w-u} F(w)$ $= \oint_{|z|=1} \frac{dz}{2\pi i} \frac{1}{x-z} F(g(z+1/z)) - \frac{1}{g} \int_{-2g+i0}^{2g+i0} \frac{dw}{2\pi i} \frac{1}{x(w) - \frac{1}{x(u)}} F(w)$ $K_v \star K_u \star F = \oint_{|z'|=1} \frac{dz'}{2\pi i} \frac{1}{y-z'} \oint_{|z|=1} \frac{dz}{2\pi i} \frac{1}{x-z} F(g(z+1/z), g(z'+1/z')) + (\text{symmetric in } u \leftrightarrow v, \ x \leftrightarrow y)$

- After anti-symmetrization of χ : integral form of the dressing factor $\chi(x,y) = -i \oint_{|z|=1} \frac{dz}{2\pi i} \oint_{|z'|=1} \frac{dz'}{2\pi i x - z} \frac{1}{y - z'} \frac{\ln \Gamma \left[1 + ig \left(z_1 + \frac{1}{z_1} - z_2 - \frac{1}{z_2}\right)\right]}{\ln \Gamma \left[1 - ig \left(z_1 + \frac{1}{z_1} - z_2 - \frac{1}{z_2}\right)\right]}$
- Weak coupling expansion $z = e^{i\phi}, z' = e^{i\phi'}$

$$\begin{aligned} \chi(x,y) &= -\sum_{r,s=1}^{\infty} \frac{c_{r,s}(g)}{x^r y^s} \\ c_{r,s}(g) &= i \int_0^{2\pi} \frac{d\phi}{2\pi} \int_0^{2\pi} \frac{d\phi'}{2\pi} e^{ir\phi + is\phi'} \frac{\ln\Gamma\left[1 + 2ig(\cos\phi - \cos\phi')\right]}{\ln\Gamma\left[1 - 2ig(\cos\phi - \cos\phi')\right]} \\ &= 2\sin\left(\frac{\pi}{2}(r-s)\right) \int_0^{\infty} dt \frac{J_r(2gt)J_s(2gt)}{t(e^t - 1)} \end{aligned}$$

$$c_{r,s}(g) = \sum_{n=1}^{\infty} g^{r+s+2n} \cdot 2(-1)^n \sin\left(\frac{\pi}{2}(r-s)\right) \frac{(2n+r+s-1)!(2n+r+s)!}{n!(n+r)!(n+s)!(n+r+s)!} \zeta(2n+r+s)$$

$$\sigma^{2}(u,v) = \exp\left\{2i\left[\chi(x^{+},y^{-}) + \chi(x^{-},y^{+}) - \chi(x^{+},y^{+}) - \chi(x^{-},y^{-})\right]\right\}$$

= 1+256 $\zeta(3)g^{6}\frac{(u-v)(4uv-1)}{(1+4u^{2})^{2}(1+4v^{2})^{2}} + \mathcal{O}(g^{8})$

Strong coupling expansion ٠

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$$c_{r,s}(g) = \sum_{n=1}^{\infty} g^{1-n} \cdot \frac{\zeta(n)((-1)^{r+s} - 1)\Gamma(\frac{1}{2}(n-r+s-1))\Gamma(\frac{1}{2}(n+r+s-3))}{2(-2\pi)^n\Gamma(n-1)\Gamma(\frac{1}{2}(-n-r+s+3))\Gamma(\frac{1}{2}(-n+r+s+1))}$$

$$= g\frac{\delta_{s,r-1} - \delta_{s,r+1}}{rs} + \frac{(-1)^{r+s} - 1}{\pi} \frac{1}{r^2 - s^2} + \mathcal{O}(g^{-1})$$

$$\chi^{(0)}(x,y) = \left(x + \frac{1}{x} - y - \frac{1}{y}\right) \ln\left(1 - \frac{1}{xy}\right) - \frac{1}{x} + \frac{1}{y}$$

$$\sigma(u,v) \approx \frac{1 - \frac{1}{x^- y^+}}{1 - \frac{1}{x^+ y^-}} \left(\frac{1 - \frac{1}{x^- y^-}}{1 - \frac{1}{x^- y^+}} \frac{1 - \frac{1}{x^+ y^+}}{1 - \frac{1}{x^+ y^-}} \right)^{i(v-u)}$$

Arutyunov, Frolov, Staudacher (2004)

Compare with the classical string result from the sine-Gordon scattering •

$$S(p_1, p_2) = e^{i\delta(p_1, p_2)} = \left(\frac{\sin^2 \frac{p_1 + p_2}{4}}{\sin^2 \frac{p_1 - p_2}{4}}\right)^{4ig(\cos \frac{p_1}{2} - \cos \frac{p_2}{2})}$$

From exact S-matrix •

$$S(p_1, p_2) = A(p_1, p_2)^2 = S_0^2 \left(\frac{x_2^- - x_1^+}{x_2^+ - x_1^-}\right)^2 \approx \left(\frac{1 - \frac{1}{x_1^- x_2^-}}{1 - \frac{1}{x_1^- x_2^+}} \frac{1 - \frac{1}{x_1^+ x_2^+}}{1 - \frac{1}{x_1^+ x_2^-}}\right)^{2i(u_1 - u_2)}$$

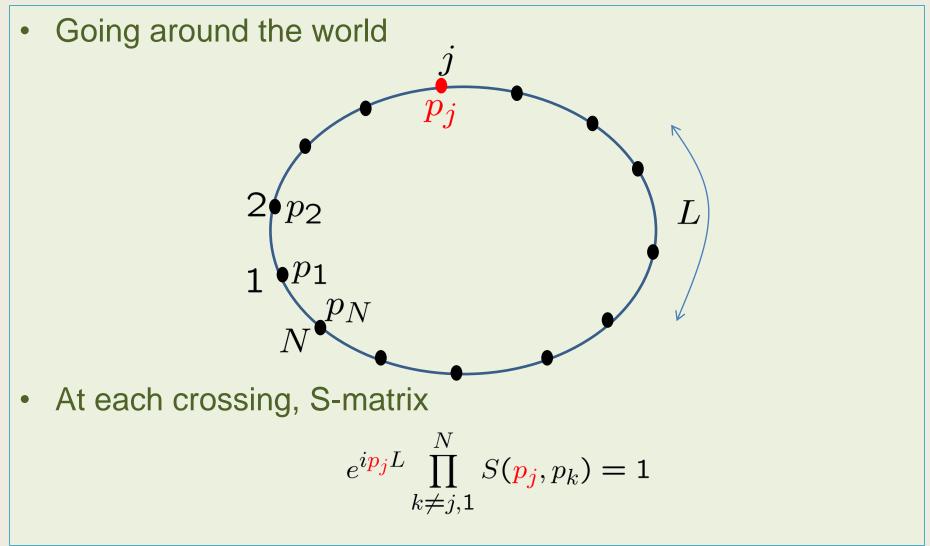
$$S_0(p_1, p_2)^2 \equiv \frac{x_1^- - x_2^+}{x_1^+ - x_2^-} \frac{1 - \frac{1}{x_1^+ x_2^-}}{1 - \frac{1}{x_1^- x_2^+}} \sigma(p_1, p_2)^2$$

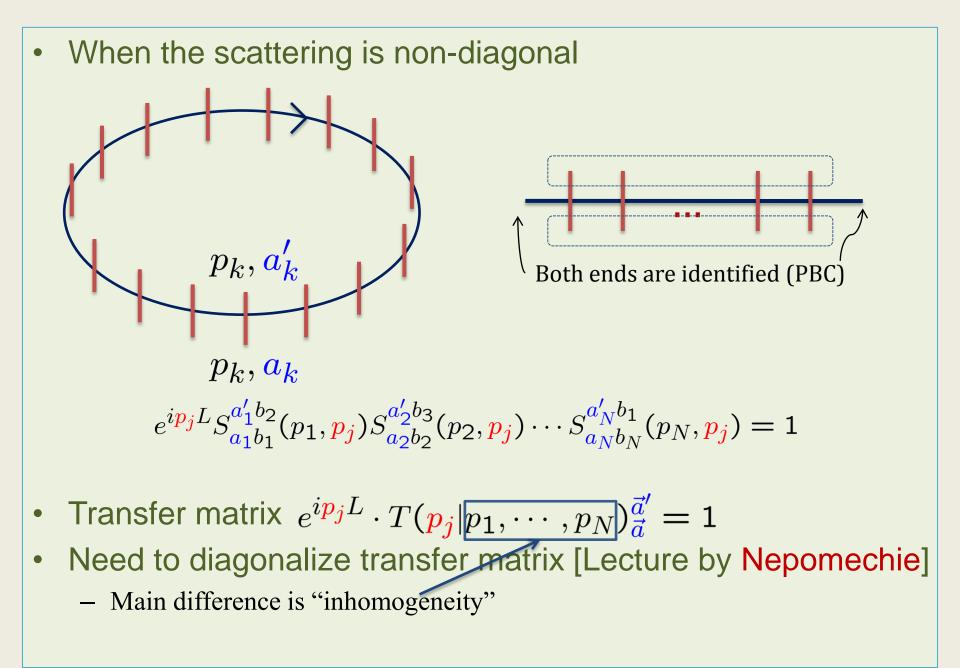
$$x_j^{\pm} \approx e^{\pm i\frac{p_j}{2}}, \quad u_j \approx 2g\cos\frac{p_j}{2}$$

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Asymptotic Bethe ansatz equations

Periodic BC

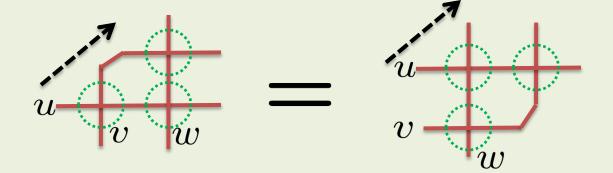




su(2)-invariant S-matrix

$$S(u-v) = \begin{pmatrix} a & 0 & 0 & 0 \\ 0 & b & c & 0 \\ 0 & c & b & 0 \\ 0 & 0 & 0 & a \end{pmatrix} \xrightarrow[a]{} v$$

• S should satisfy YBE

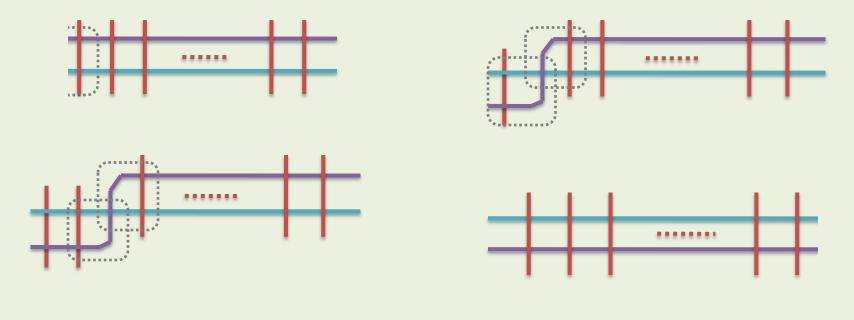


A Solution of YBE

• su(2)-invariant

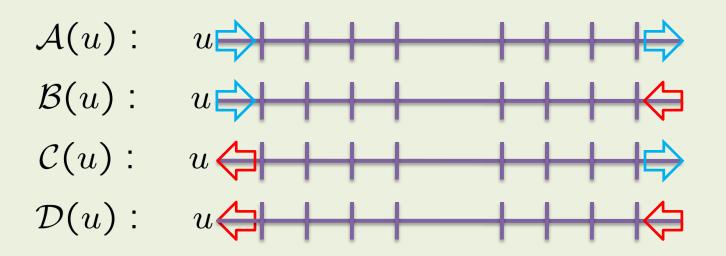
$$a(u) = u + i, \ b(u) = u, \ c(u) = i$$

• Transfer matrices commute \rightarrow Integrable



Algebraic Bethe ansatz

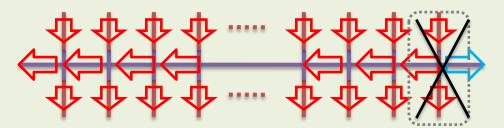
• Monodromy matrix :



• Transfer matrix for the PBC $T(u) = \operatorname{Tr} \left[\begin{pmatrix} \mathcal{A}(u) & \mathcal{B}(u) \\ \mathcal{C}(u) & \mathcal{D}(u) \end{pmatrix} \right] = \mathcal{A}(u) + \mathcal{D}(u)$

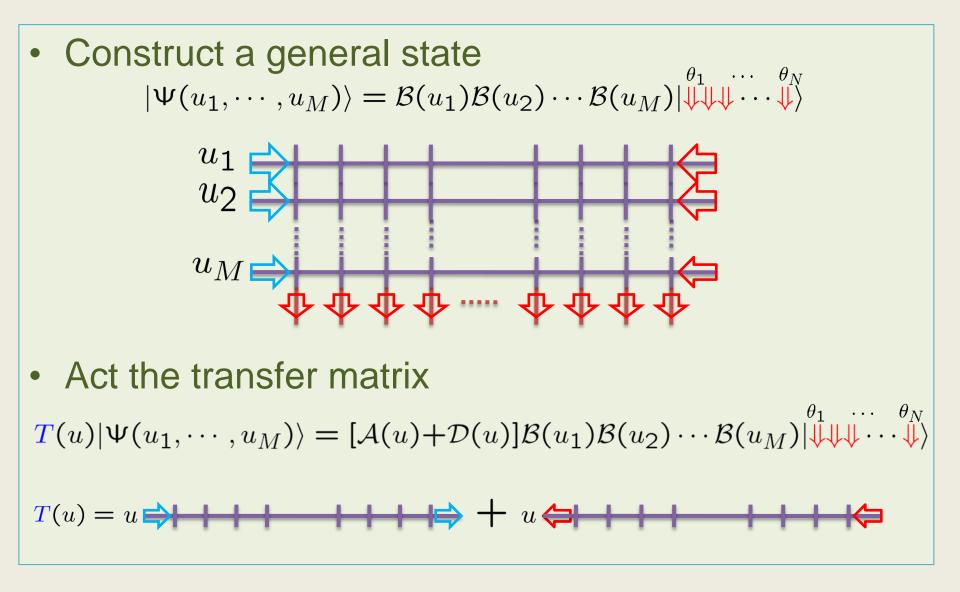
$$\begin{array}{ccc} & & & & & \\ & & & \\ \theta_1 & \theta_2 & & \\ & & & & \\ \end{array} \begin{array}{c} & & & & \\ & & & \\ \theta_1 \end{array} \begin{array}{c} & & & \\ & & \\ & & \\ \end{array} \begin{array}{c} & & & \\ & & \\ & & \\ \end{array} \begin{array}{c} & & \\ & & \\ & & \\ \end{array} \begin{array}{c} & & \\ & & \\ & & \\ \end{array} \begin{array}{c} & & \\ & & \\ \end{array} \end{array}$$

• Annihilation operator $C(u)|\Downarrow\Downarrow\Downarrow\vee\Downarrow\rangle = 0$



• Creation operator $\mathcal{B}(u)$





• We have seen that
• We have seen that

$$b(u-v)\mathcal{D}(v)\mathcal{B}(u)+c(u-v)\mathcal{B}(v)\mathcal{D}(u) = a(u-v)\mathcal{B}(u)\mathcal{D}(v)$$

$$b(u-v)\mathcal{A}(v)\mathcal{B}(u)+c(u-v)\mathcal{B}(v)\mathcal{A}(u) = a(u-v)\mathcal{B}(u)\mathcal{A}(v)$$
• Act A & D on the state $|\Psi>$ using CR

$$\mathcal{A}(u)\mathcal{B}(u_1)\mathcal{B}(u_2)\cdots\mathcal{B}(u_M)|_{\psi} \psi \cdots \psi \rangle$$

$$\mathcal{A}(u)\mathcal{B}(u_j) = \frac{a(u_j-u)}{b(u_j-u)}\mathcal{B}(u_j)\mathcal{A}(u) = \frac{c(u_j-u)}{b(u_j-u)}\mathcal{B}(u)\mathcal{A}(u_j)$$

$$\mathcal{D}(u)\mathcal{B}(u_1)\mathcal{B}(u_2)\cdots\mathcal{B}(u_M)|_{\psi} \psi \cdots \psi \rangle$$

$$\mathcal{D}(u)\mathcal{B}(u_j) = \frac{a(u-u_j)}{b(u-u_j)}\mathcal{B}(u_j)\mathcal{D}(u) = \frac{c(u-u_j)}{b(u-u_j)}\mathcal{B}(u)\mathcal{D}(u_j)$$
• Only "wanted" terms contribute

$$\Lambda(u) = \prod_{n=1}^{N} a(u-\theta_n) \prod_{j=1}^{M} \frac{a(u_j-u)}{b(u_j-u)} + \prod_{n=1}^{N} b(u-\theta_n) \prod_{j=1}^{M} \frac{a(u-u_j)}{b(u-u_j)}$$

• **BAE**: $\Lambda(u_k) = \text{finite}, \quad b(u_k - u_k) = 0$

$$\prod_{n=1}^{N} \frac{a(u_k - \theta_n)}{b(u_k - \theta_n)} = -\prod_{j=1}^{M} \frac{a(u_k - u_j)}{a(u_j - u_k)} = \prod_{\substack{j \neq k, j=1}}^{M} \frac{u_k - u_j + i}{u_k - u_j - i}$$

• Bethe-Yang equation:

$$e^{ip(\theta_j)L} \wedge (\theta_j) = e^{ip(\theta_j)L} \prod_{n=1}^N a(\theta_j - \theta_n) \prod_{k=1}^M \frac{a(u_k - \theta_j)}{b(u_k - \theta_j)} = 1$$

Asymptotic BAE for AdS/CFT

- **Beisert** S-matrix is related to R-matrix of 1d Hubbard model
 - Both have $su(2) \times su(2)$ $\square = (\phi_a | \psi_\alpha) = (\phi_1, \phi_2 | \psi_3, \psi_4) = (\uparrow, \downarrow) \otimes (\uparrow, \downarrow)$
- Algebraic Bethe ansatz is applicable

Martins, Ramos

Monodromy matrix : 4 x 4

$$\mathcal{T} = \begin{pmatrix} \mathcal{B} & \mathcal{B}_1 & \mathcal{B}_2 & \mathcal{F} \\ \mathcal{C}_1 & \mathcal{A}_{11} & \mathcal{A}_{12} & \mathcal{B}_1^* \\ \mathcal{C}_2 & \mathcal{A}_{21} & \mathcal{A}_{22} & \mathcal{B}_2^* \\ \mathcal{C} & \mathcal{C}_1^* & \mathcal{C}_2^* & \mathcal{D} \end{pmatrix}$$

- Transfer matrix $T = sTr[T] = B + D - (A_{11} + A_{22})$ $|0\rangle = |(\uparrow \uparrow)(\uparrow \uparrow) \cdots (\uparrow \uparrow)\rangle$
- Vacuum:

• One-particle excited states
$$|\Phi(u_1)\rangle = [\mathcal{B}_a(u_1)F_h^a]|_0\rangle$$

 $\mathcal{B}(u)|\Phi(u_1)\rangle = \alpha_1 \omega_1(u)^L |\Phi(u_1)\rangle + \dots$
 $\mathcal{D}(u)|\Phi(u_1)\rangle = \alpha_2 \omega_2(u)^L |\Phi(u_1)\rangle + \dots$ su(2) R-matrix
 $\mathcal{A}_{aa}(u)|\Phi(u_1)\rangle = \alpha_3 \mathbf{r}_{ba}^{ac} \mathcal{B}_c(u_1) F^b \omega_3(u)^L |0\rangle + \dots$
 $= \alpha_3 \Lambda^{(1)} \omega_3(u)^L |\Phi(u_1)\rangle + \dots \mathbf{r}_{ba}^{ac} F^b = \Lambda^{(1)} F^c$

• Multi-particle states $|\Phi\rangle = [\mathcal{B}_a(u_1)F^a] \cdots [\mathcal{B}_a(u_M)F^a] |0\rangle$

$$\mathcal{B}(u)|\Phi\rangle = \left[\prod_{j=1}^{M} \alpha_{1}(u, u_{j})\right] \omega_{1}(u)^{L} |\Phi\rangle + \dots$$

$$\mathcal{D}(u)|\Phi\rangle = \left[\prod_{j=1}^{M} \alpha_{2}(u, u_{j})\right] \omega_{2}(u)^{L} |\Phi\rangle + \dots$$

$$\mathcal{A}_{aa}(u)|\Phi\rangle = \left[\prod_{j=1}^{M} \alpha_{3}(u, u_{j}) \mathbf{T}^{(1)}(u, u_{j})\right] \omega_{3}(u)^{L} |\Phi\rangle + \dots$$

su(2) transfer one BAE & matrix

• Eigenvalues

$$\Lambda(u) = \left[\prod_{j=1}^{M} \alpha_1(u, u_j)\right] \ \omega_1(u)^L + \left[\prod_{j=1}^{M} \alpha_2(u, u_j)\right] \ \omega_2(u)^L - \left[\prod_{j=1}^{M} \alpha_3(u, u_j) \Lambda^{(1)}(u, u_j; \lambda_l)\right] \ \omega_3(u)^L$$

Inhomogeneity since vacuum consists of particles with momenta

$$\Lambda(u) = \left[\prod_{j=1}^{M} \alpha_1(u, u_j)\right] \left[\prod_{k=1}^{L} \omega_1(u, \theta_k)\right] + \left[\prod_{j=1}^{M} \alpha_2(u, u_j)\right] \left[\prod_{k=1}^{L} \omega_2(u, \theta_k)\right] + \left[\prod_{j=1}^{M} \alpha_3(u, u_j) \Lambda^{(1)}(u, u_j; \lambda_l)\right] \left[\prod_{k=1}^{L} \omega_3(u, \theta_k)\right]$$

- Another BAE from $\wedge(u_n) = \text{finite with } \alpha_1(u_j, u_j) = \alpha_3(u_j, u_j) = \infty$ $\prod_{k=1}^L \frac{\omega_1(u_j, \theta_k)}{\omega_3(u_j, \theta_k)} = \wedge^{(1)}(\{u_k\}; \{\lambda_l\})$
- Bethe-Yang equation: $\omega_2(\theta_k, \theta_k) = \omega_3(\theta_k, \theta_k) = 0$ $e^{ip(\theta_j)L} \wedge (\theta_j) = e^{ip(\theta_j)L} \left[\prod_{k=1}^M \alpha_1(\theta_j, u_k)\right] \left[\prod_{k=1}^L \omega_1(\theta_j, \theta_k)\right] = 1$
- Considering the two S-matrices: two wings of BAE and Bethe-Yang equation

$$e^{ip(\theta_j)L} \wedge (\theta_j, \{u_k\}) \wedge (\theta_j, \{u_k\}) = 1$$

Asymptotic Bethe-Yang equation

$$\begin{array}{l} 1 &= \prod_{k=1}^{K_2} \frac{u_{1j} - u_{2k} + \frac{i}{2}}{u_{1j} - u_{2k} - \frac{i}{2}} \prod_{k=1}^{K_4} \frac{1 - 1/x_{1j} x_{4k}^+}{1 - 1/x_{1j} x_{4k}^-} \\ 1 &= \prod_{k=1}^{K_2} \frac{u_{2j} - u_{2k} - i}{u_{2j} - u_{2k} + i} \prod_{k=1}^{K_3} \frac{u_{2j} - u_{3k} + \frac{i}{2}}{u_{2j} - u_{3k} - \frac{i}{2}} \prod_{k=1}^{K_1} \frac{u_{2j} - u_{1k} + \frac{i}{2}}{u_{2j} - u_{1k} - \frac{i}{2}} \\ 1 &= \prod_{k=1}^{K_2} \frac{u_{3j} - u_{2k} + \frac{i}{2}}{u_{3j} - u_{2k} - \frac{i}{2}} \prod_{k=1}^{K_4} \frac{x_{3j} - x_{4k}^+}{x_{3j} - x_{4k}^-} \\ 1 &= \prod_{k=1}^{K_2} \frac{u_{3j} - u_{2k} + \frac{i}{2}}{u_{3j} - u_{2k} - \frac{i}{2}} \prod_{k=1}^{K_4} \frac{x_{3j} - x_{4k}^+}{x_{3j} - x_{4k}^-} \\ 1 &= \prod_{k=1}^{K_4} \sigma^2(x_{4j}, x_{4k}) \frac{u_{4j} - u_{4k} + i}{u_{4j} - u_{4k} - i} \\ \times \prod_{k=1}^{K_1} \frac{1 - 1/x_{4j} x_{1k}}{1 - 1/x_{4j}^+ x_{1k}} \prod_{k=1}^{K_3} \frac{x_{4j}^- - x_{3k}}{x_{4j}^+ - x_{3k}} \prod_{k=1}^{K_7} \frac{x_{4j}^- - x_{5k}}{x_{4j}^+ - x_{5k}} \prod_{k=1}^{K_7} \frac{1 - 1/x_{4j}^- x_{7k}}{1 - 1/x_{4j}^+ x_{7k}} \\ 1 &= \prod_{k=1}^{K_6} \frac{u_{5j} - u_{6k} + \frac{i}{2}}{u_{5j} - u_{6k} - \frac{i}{2}} \prod_{k=1}^{K_4} \frac{x_{5j} - x_{4k}^+}{x_{5j} - x_{4k}^-} \\ 1 &= \prod_{k=1}^{K_6} \frac{u_{6j} - u_{6k} - i}{u_{6j} - u_{6k} + \frac{i}{2}} \prod_{k=1}^{K_6} \frac{u_{6j} - u_{5k} + \frac{i}{2}}{u_{6j} - u_{5k} - \frac{i}{2}} \prod_{k=1}^{K_7} \frac{u_{6j} - u_{7k} + \frac{i}{2}}{u_{6j} - u_{7k} - \frac{i}{2}} \\ 1 &= \prod_{k=1}^{K_6} \frac{u_{7j} - u_{6k} + \frac{i}{2}} \prod_{k=1}^{K_6} \frac{u_{6j} - u_{5k} + \frac{i}{2}} \prod_{k=1}^{K_7} \frac{1 - 1/x_{7j} x_{4k}^+}{u_{6j} - u_{7k} - \frac{i}{2}} \\ 1 &= \prod_{k=1}^{K_6} \frac{u_{7j} - u_{6k} + \frac{i}{2}} \prod_{k=1}^{K_6} \frac{1 - 1/x_{7j} x_{4k}^+}{u_{6j} - u_{7k} - \frac{i}{2}} \prod_{k=1}^{K_6} \frac{1 - 1/x_{7j} x_{4k}^+}{u_{6j} - u_{7k} - \frac{i}{2}} \prod_{k=1}^{K_6} \frac{u_{6j} - u_{6k} + \frac{i}{2}} \prod_{k=1}^{K_6} \frac{u_{6j} - u_{7k} - \frac{i}{2}} \prod_{k=1}^{K_6} \frac{u_{6j} - \frac{i}{2}} \prod_{k=1}^{K_$$

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Simpler form of BAE

Dynamic transformation Beisert, Roiban; Hentschel, Plefka, Sundin $K_{1,7} \to K_{1,7} - 1, \quad K_{3,5} \to K_{3,5} + 1, \quad L \to L - 1$ $L' = L - K_1 - K_7$ $1 = \prod_{k=1}^{K_2} \frac{u_{2j} - u_{2k} - i}{u_{2j} - u_{2k} + i} \prod_{k=1}^{K_3 + K_1} \frac{u_{2j} - u_{3k} + \frac{i}{2}}{u_{2j} - u_{3k} - \frac{i}{2}}$ $1 = \prod_{k=1}^{K_2} \frac{u_{3j} - u_{2k} + \frac{i}{2}}{u_{3j} - u_{2k} - \frac{i}{2}} \prod_{k=1}^{K_4} \frac{x_{3j} - x_{4k}^+}{x_{3j} - x_{4k}^-}$ $\left(\frac{x_{4j}^+}{x_{4j}^-}\right)^{L'} = \prod_{k=1}^{K_4} \sigma^2(x_{4j}, x_{4k}) \frac{u_{4j} - u_{4k} + i}{u_{4j} - u_{4k} - i} \prod_{k=1}^{K_3 + K_1} \frac{x_{4j}^- - x_{3k}}{x_{4j}^+ - x_{3k}} \prod_{k=1}^{K_5 + K_7} \frac{x_{4j}^- - x_{5k}}{x_{4j}^+ - x_{5k}}$ $1 = \prod_{k=1}^{K_6} \frac{u_{5j} - u_{6k} + \frac{i}{2}}{u_{5j} - u_{6k} - \frac{i}{2}} \prod_{k=1}^{K_4} \frac{x_{5j} - x_{4k}^+}{x_{5j} - x_{4k}^-}$ $1 = \prod_{k=1}^{K_6} \frac{u_{6j} - u_{6k} - i}{u_{6i} - u_{6k} + i} \prod_{k=1}^{K_5 + K_7} \frac{u_{6j} - u_{5k} + \frac{i}{2}}{u_{6j} - u_{5k} - \frac{i}{2}}$

Lecture 3. Finite-size effects

Plan

- 1. Wrapping effect
- 2. Luscher corrections
- 3. Thermodynamic Bethe ansatz method
- 4. Y-systems

Four-loop su(2) Konishi

• su(2) Konishi Tr [ZZXX], Tr [ZXZX]

• **BAE**:
$$p_1 = -p_2 = p$$
, $\sigma = e^{2i\theta(p, -p)}$

 σ^2

$$e^{i4p} = e^{-i72\sqrt{3}\zeta(3)g^6} \cdot \frac{2u+i}{2u-i}, \quad u = \frac{1}{2}\cot\frac{p}{2}\sqrt{1+16g^2\sin^2\frac{p}{2}}$$
$$(u,v) \approx 1+256\zeta(3)g^6 \frac{(u-v)(4uv-1)}{(1+4u^2)^2(1+4v^2)^2}, \quad u = -v = \frac{1}{2\sqrt{3}}$$

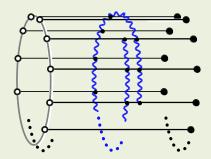
- Perturbative solutions $p = \frac{2\pi}{3} \sqrt{3}g^2 + \frac{9\sqrt{3}}{2}g^4 \frac{72\sqrt{3} + 72\sqrt{3}\zeta(3)}{3}g^6 + \dots$
- BAE result: $\Delta_{BAE} = 4 + 12g^2 - 48g^4 + 336g^6 - (2820 + 288\zeta(3))g^8 + \mathcal{O}(g^{10})$
- Perturbative SYM calculation Fiamberti, Santambrogio, Sieg, Zanon (2008) $\Delta_{\text{Pert.}} = 4 + 12g^2 - 48g^4 + 336g^6 - (2496 - 576\zeta(3) + 1440\zeta(5))g^8 + \mathcal{O}(g^{10})$
- BAE is wrong at the 4-loop level

$$\delta \Delta = \Delta_{\text{Pert.}} - \Delta_{\text{BAE}} = (324 + 864\zeta(3) - 1440\zeta(5))g^8 + \mathcal{O}(g^{10})$$

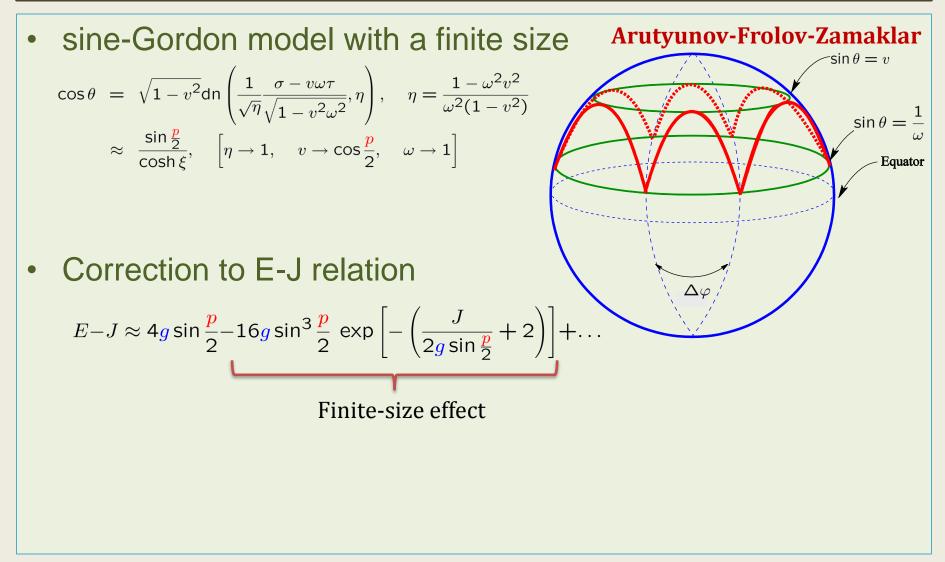
• WHY? Finite-size effect !

Wrapping problem

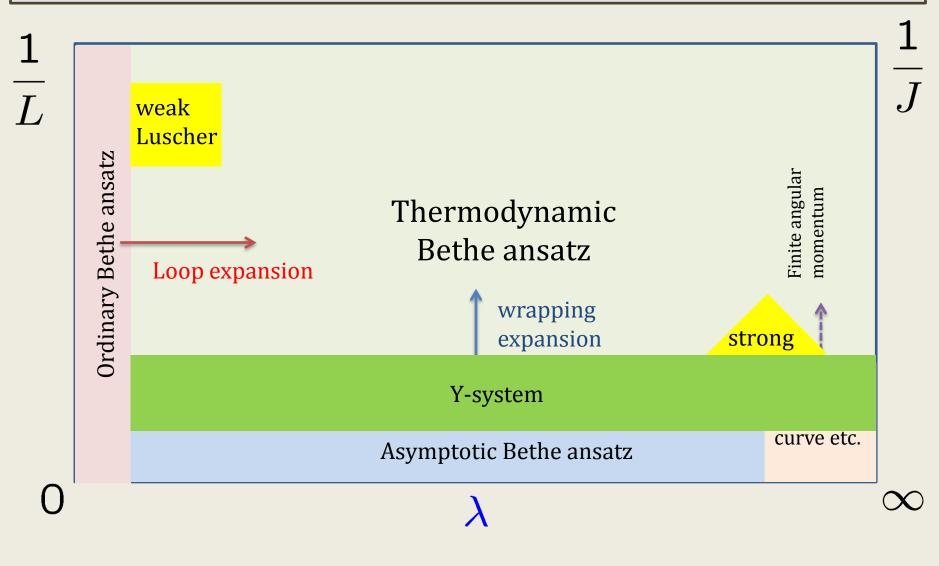
- High-order Feynman diagrams connect operators farther away
- When the length of a composite operator is shorter than the order of the perturbative expansion: unphysical("wrapping") interactions appear
 - \rightarrow BAE is valid only when the length is infinite
- The length of spin-chain is another important parameter



Giant magnon in R x S²



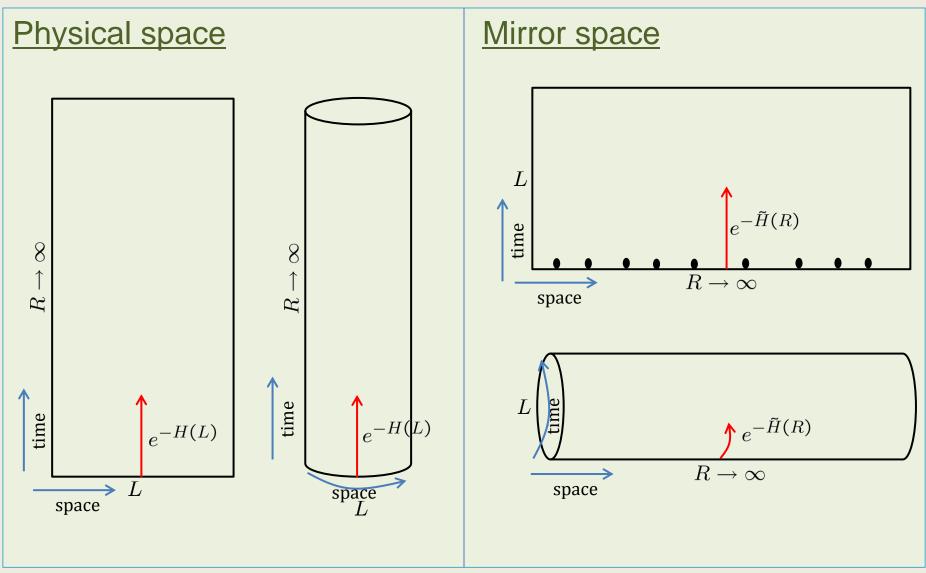
Phase diagram of integrable methods



Thermodynamic Bethe ansatz

- From S-matrix to the finite-size effect
- Al. B. Zamolodchikov (1990)

2d Euclidean geometry with PBC



Channel duality

- Mirror channel
 - An elementary excitation with a dispersion relation $(\tilde{e}(u), \tilde{p}(u))$
 - − *S*-matrix and scattering are valid only when $R \rightarrow \infty$
 - *N*-particles in a box of length *R*
 - Bethe-Yang equation $e^{i\tilde{p}(u_j)R} \prod_{k=1}^{N} S(u_j, u_k) = 1$
 - Partition function

$$\tilde{Z}(R,L) = \operatorname{Tr}\left[e^{-L\tilde{H}(R)}\right]$$

 $k \neq j, 1$

- Physical channel
 - Dispersion relation $(e, p) = (-i\tilde{p}, -i\tilde{e})$
 - Partition function $Z(L,R) = \text{Tr}\left[e^{-RH(L)}\right] \approx e^{-RE_0(L)}$ as $R \to \infty$

 $\widetilde{Z}(R,L) = Z(L,R) \quad \to \quad E_0(L) = -\frac{1}{R} \ln \widetilde{Z}(R,L) = \frac{L}{R} \widetilde{\mathcal{F}}(L)$

Free energy with temperature

$$T = \frac{1}{L}$$

Computing free energy in the mirror space

$$\widetilde{\mathcal{F}}(L) = \widetilde{E} - T\mathcal{S}$$

- Mirror free energy with $N, R \to \infty$ - Log of Bethe-Yang equation : $\tilde{p}(u_j) - \frac{i}{R} \sum_{k \neq j,1}^{N} \ln S(u_j, u_k) = 2\pi \frac{n_j}{R} \to \tilde{p}(u_j) + \int u' \rho(u') \frac{1}{i} \ln S(u_j, u') = 2\pi \frac{n_j}{R}$ $\to \boxed{\frac{d\tilde{p}}{du} + \int du' \rho(u') \frac{1}{i} \frac{\partial}{\partial u} \ln S(u, u') = 2\pi [\rho_h(u) + \rho(u)]}_{i \to u}$
 - Energy $\tilde{E} = \sum_{j=1}^{N} \tilde{e}(u_j) = R \int du \,\rho(u) \,\tilde{e}(u), \quad \rho(u) = \frac{1}{R} \frac{dn}{du}, \quad \rho_h(u) = \frac{1}{R} \frac{dn}{du}$
 - *n* = # of particles,
 - dn = # of particles with u-values between u and u+du
 - n = # of unoccupied ('holes') states
 - Entropy: log of # of cases $S = R \int du \left[(\rho_h + \rho) \ln(\rho_h + \rho) \rho_h \ln \rho_h \rho \ln \rho \right]$
 - Free energy: $L\tilde{F}(L) = R \int du \left\{ L\tilde{e}(u)\rho(u) \left[(\rho_h + \rho) \ln(\rho_h + \rho) \rho_h \ln(\rho_h \rho) \ln(\rho_h) \right] \right\}$
 - Minimize free energy with the constraint of PBC

• Lagrange multiplier

$$F[\rho_{h},\rho] = R \int du \left\{ L\tilde{e}(u)\rho(u) - [(\rho_{h}+\rho)\ln(\rho_{h}+\rho) - \rho_{h}\ln\rho_{h} - \rho\ln\rho] - \lambda(u) \left[\rho_{h}(u) + \rho(u) - \int \frac{du'}{2\pi} K(u,u')\rho(u') \right] \right\}$$

$$K(u,u') \equiv \frac{1}{i}\frac{\partial}{\partial u}\ln S(u,u')$$

$$\frac{\delta}{\delta\rho_{h}(u)}F[\rho_{h},\rho] = \frac{\delta}{\delta\rho(u)}F[\rho_{h},\rho] = 0 \quad \Longrightarrow \quad \left\{ \begin{array}{c} \ln\rho_{h} - [\ln(\rho_{h}+\rho)] - \lambda(u) = 0 \\ L\tilde{E}(u) - [\ln(\rho_{h}+\rho) - \ln\rho] - \lambda(u) + \int \frac{du'}{2\pi} K(u',u)\lambda(u') = 0 \end{array} \right\}$$

• TBA eq.
$$\epsilon(u) = L\tilde{e}(u) - \int \frac{du'}{2\pi} K(u', u) \ln\left[1 + e^{-\epsilon(u')}\right]$$

- $\epsilon(u) \equiv \ln[\rho_h/\rho]$
- Minimized free energy : plug into *F* and use TBA and partial integrate

$$E_0(L) = -\int \frac{du}{2\pi} \tilde{p}'(u) \ln\left[1 + e^{-\epsilon(u)}\right]$$

- Generalization
 - Multi-species
 - Excited states
 - Non-diagonal S-matrix

- Multi-species : with dispersion relations $(\tilde{e}_n(u), \tilde{p}_n(u)), n = 1, ..., M$
- S-matrix : $S_{n,m}(u, u')$

TBA eq.
$$\epsilon_n(u) = L\tilde{e}_n(u) - \sum_{m=1}^M \int \frac{du'}{2\pi} K_{nm}(u', u) \ln\left[1 + e^{-\epsilon_m(u')}\right] \qquad K_{nm}(u, u') \equiv \frac{1}{i} \frac{\partial}{\partial u} \ln S_{nm}(u, u')$$

• Ground-state energy:
$$E_0(L) = -\sum_{n=1}^M \int \frac{du}{2\pi} \tilde{p}'_n(u) \ln\left[1 + e^{-\epsilon_n(u)}\right]$$

• Excited states : partial integrate

$$E_0(L) = \int \frac{du}{2\pi} \tilde{p}(u) \ \partial_u \ln\left[1 + e^{-\epsilon(u)}\right]$$

$$\epsilon(u) = L\tilde{e}(u) + \int \frac{du'}{2\pi i} \ln S(u', u) \partial_{u'} \ln\left[1 + e^{-\epsilon(u')}\right]$$

• If $\ln \left[1 + e^{-\epsilon(u_j)}\right] = 0$, deform the integral contour and residue integrate Mirror momentum $E(L) = -\sum_{j} i\tilde{p}(u_j) + \int \frac{du}{2\pi} \tilde{p}(u) \partial_u \ln \left[1 + e^{-\epsilon(u)}\right] = \sum_{j} e(u_j) - \int \frac{du}{2\pi} \tilde{p}'(u) \ln \left[1 + e^{-\epsilon(u)}\right]$ $e(u) = L\tilde{e}(u) + \sum_{i} \ln S(u_i, u) - \int \frac{du'}{2\pi} K(u', u) \ln \left[1 + e^{-\epsilon(u')}\right]$ Multi-species excited states :

$$E(L) = \sum_{i} e_{n_{i}}(u_{i}) - \sum_{n=1}^{M} \int \frac{du}{2\pi} \tilde{p}_{n}'(u) \ln \left[1 + e^{-\epsilon_{n}(u)}\right]$$

$$\epsilon_{n}(u) = L\tilde{e}_{n}(u) + \sum_{i} \ln S_{n_{i},n}(u_{i}, u) - \sum_{m=1}^{M} \int \frac{du'}{2\pi} K_{nm}(u', u) \ln \left[1 + e^{-\epsilon_{m}(u')}\right]$$

- Non-diagonal S-matrix :
- Diagonalize the transfer matrix to derive "Bethe-Yang" or "asymptotic Bethe" equations
- Interpret these as PBC conditions :
 - "physical" (momentum carrying) : Bethe-Yang equation
 - "magnonic" (no momentum) particles : Bethe ansatz equations
- Read off "effective" diagonal S-matrices
- Apply TBA equations derived already
- (ex) su(2) S-matrix

$$e^{ip(\theta_j)L} \prod_{n=1}^{N} \frac{a(\theta_j - \theta_n)}{\sum_{\substack{k=1}}^{M} \frac{a(u_k - \theta_j)}{b(u_k - \theta_j)}} = 1$$

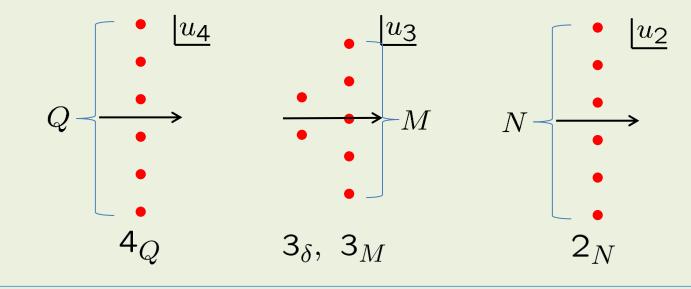
$$\prod_{k=1}^{N} \frac{b(u_k - \theta_n)}{a(u_k - \theta_n)} \prod_{\substack{j \neq k, j = 1}}^{M} \frac{u_k - u_j + i}{u_k - u_j - i} = 1$$

Diagonal S-matrix for AdS/CFT

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String hypothesis

- AdS/CFT contains infinite # of bound states and need their ABAEs
- The bound states belong to higher dimensional representation of su(2|2) and their S-matrices can not be determined by su(2|2) but need "yangian" symmetry
- Bypassing derivation ABAE for the bound states, one can find the "diagonal" S-matrices by studying the string solutions by a similar logic of su(2) case
- Classes of strings 4_Q , 3_δ , 3_M , 2_N



• Diagonal S-matrices

$$\begin{split} S_{44}^{(QQ')} &= \sigma_{QQ'} E_{QQ'} \\ S_{43}^{(QM)} &= \frac{x(u_{-Q}) - x(v_M)}{x(u_Q) - x(v_M)} \frac{x(u_{-Q}) - x(v_{-M})}{x(u_Q) - x(v_{-M})} \frac{x(u_Q)}{x(u_{-Q})} \prod_{j=1}^{M-1} e_{M-Q-2j} \\ S_{43}^{(Q\delta)} &= \frac{x(u_{-Q}) - x(v)^{\delta}}{x(u_Q) - x(v)^{\delta}} \sqrt{\frac{x(u_Q)}{x(u_{-Q})}} \\ S_{33}^{(MM')} &= S_{22}^{(MM')-1} = E_{MM'} \\ S_{33}^{(M\delta)} &= S_{23}^{(M\delta)} = e_M \\ e_n(u) &= \frac{u + in/2g}{u - in/2g} \\ E_{n,m} &= e_{|n-m|} e_{|n-m|+2}^2 \cdots e_{n+m-2}^2 e_{n+m} \\ x(u_M) + \frac{1}{x(u_M)} &= u_M, \quad x^+(u_M) + \frac{1}{x^+(u_M)} - x^-(u_M) - \frac{1}{x^-(u_M)} &= \frac{iM}{g} \end{split}$$

• Asymptotic BAE for these strings can be constructed straightforwardly since the scatterings are diagonal and TBA can be derived accordingly

TBA for AdS/CFT

• Thermodynamic BAE

Arutyunov,Frolov; Bombardelli,Fioravanti,Tateo; Gromov,Kazakov,Kozak,Vieira (2009)

$$\begin{aligned} \epsilon_4^{(Q)} &= L\tilde{e}_Q - L_4^{(Q')} \star K_{44}^{(Q'Q)} - L_3^{(M)} \star K_{34}^{(MQ)} - L_3^{(\delta)} \star K_{34}^{(\delta Q)} \\ \epsilon_3^{(M)} &= -L_4^{(Q)} \star K_{43}^{(QM)} - L_3^{(M')} \star K_{33}^{(M'M)} - L_3^{(\delta)} \star K_{33}^{(\delta M)} \\ \epsilon_2^{(N)} &= L_2^{(N')} \star K_{22}^{(N'N)} - L_3^{(\delta)} \star K_{32}^{(\delta N)} \\ \epsilon_3^{(\delta)} &= -L_4^{(Q)} \star K_{43}^{(Q\delta)} - L_3^{(M)} \star K_{33}^{(M\delta)} - L_2^{(N)} \star K_{23}^{(N\delta)} \end{aligned} \qquad A \star K(u) = \int \frac{du'}{2\pi} A(u') K(u', u) \\ K_{ab}^{(nm)}(u, u') \equiv -i\partial_u \ln S_{ab}^{(nm)}(u, u') \end{aligned}$$

Physical dispersion relation

$$e_n(p) = \sqrt{n^2 + 16g^2 \sin^2 \frac{p}{2}} \quad (e, p) = (i\tilde{p}, -i\tilde{e})$$
$$\tilde{e}_n(\tilde{p}) = 2\sinh^{-1}\left(\frac{1}{4g}\sqrt{\tilde{p}^2 + n^2}\right)$$

Finite-size energy

$$E_0(L) = -\sum_{Q=1}^{\infty} \int \frac{du}{2\pi} \, \tilde{p}'_Q \, \ln\left(1 + e^{-\epsilon_4^{(Q)}}\right)$$

• Excited TBA by analytic continuation or by "Y-system"

Universal kernel

• One can introduce kernel which connects only nearest neighbors

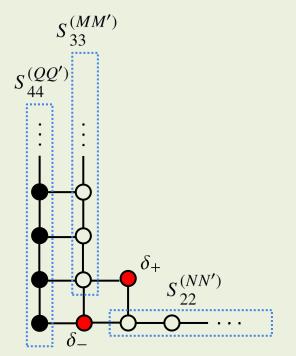
(ex) bound-state S-matrix of su(2)-invariant theory

$$S^{(nm)}(u-v) = E_{nm}(u-v) = e_{|n-m|}e_{|n-m|+2}^{2} \cdots e_{n+m-2}^{2}e_{n+m}(u-v)$$

O-O-O-O- \cdots \lambda I_{MN} = \delta_{M,N-1} + \delta_{M,N+1}

universal kernel for AdS/CFT (2d Dynkin diagram)

$$S_{ab}^{(nm)}(u,v) \rightarrow K_{ab}^{(nm)}$$



• Define Y-functions :

$$Y_{Q,0} = e^{-\epsilon_4^{(Q)}}, \ Y_{M+1,1} = e^{-\epsilon_3^{(M)}}, \ Y_{1,N+1} = e^{\epsilon_2^{(N)}}, \ Y_{1,1} = e^{\epsilon_3^{(\delta=-)}}, \ Y_{2,2} = e^{\epsilon_3^{(\delta=+)}}$$

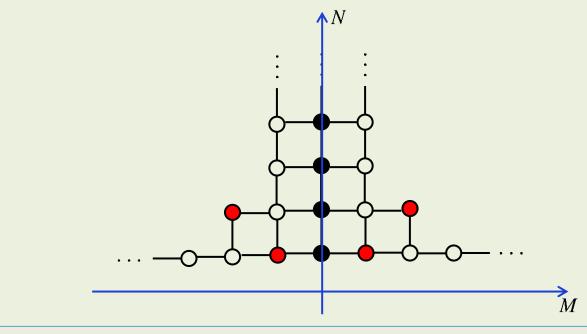
• Add another side of su(2|2) S-matrix

$$Y_{Q,0} = e^{-\epsilon_4^{(Q)}}, \ Y_{M+1,-1} = e^{-\epsilon_3^{(M)}}, \ Y_{1,-(N+1)} = e^{\epsilon_2^{(N)}}, \ Y_{1,-1} = e^{\epsilon_3^{(\delta=-)}}, \ Y_{2,-2} = e^{\epsilon_3^{(\delta=+)}}$$

• TBA with universal kernel

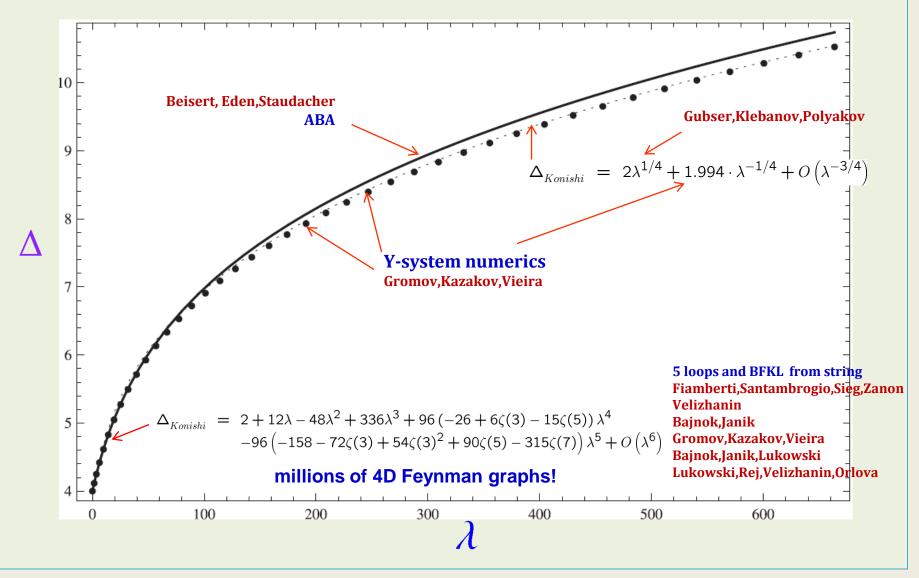
$$\ln Y_{N,M} = s \star \left[\ln(1 + Y_{N,M+1}) + \ln(1 + Y_{N,M-1}) \right] - s \star \left[\ln(1 + Y_{N+1,M}^{-1}) + \ln(1 + Y_{N-1,M}^{-1}) \right]$$

- "deriving term" can be absorbed into boundary condition



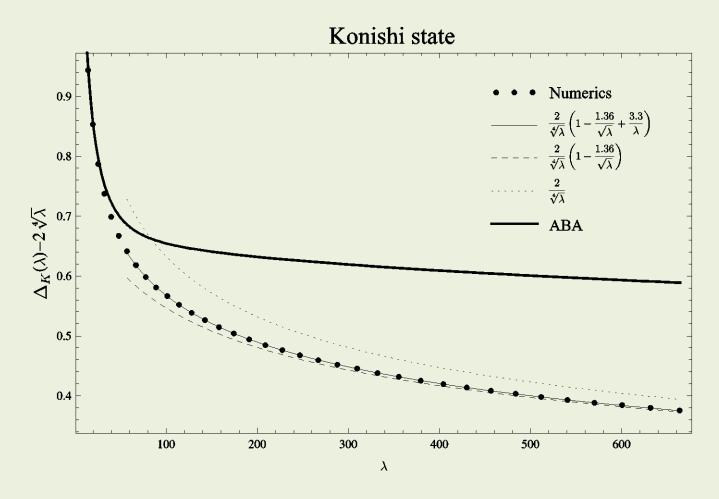
• Numerics for sl(2) Konishi

 $\operatorname{Tr}[\mathcal{D},Z]^2$



5th Asian Winter School on Strings, Particles and Cosmology

• Difference from GKP scaling



Gromov,Kazakov,Vieira (2009); Frolov (2010)

Y-system

• Thermodynamic BAE is integral equations

Al. Zamolodchikov (1991)

- Difficult to generalize to excited states
- Y-system is a system of functional equations which can be derived from TBA

• Solutions for the Y-system are not unique and include all the excited states

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TBA in IR limit : Luscher correction

- Analytic analysis is possible when $L\tilde{e}_n(u)$ is large
- Consider two-particle excitation for one-particle species theory
 - TBA eq. $\epsilon(u) = L\tilde{e}(u) + \ln S(u_1, u) + \ln S(u_2, u) \int \frac{du'}{2\pi} K(u', u) \ln \left[1 + e^{-\epsilon(u')}\right]$
 - Constraint eq. $1 + e^{-\epsilon(u_i)} = 0$

- Energy
$$E(L) = e(u_1) + e(u_2) - \int \frac{du}{2\pi} \tilde{p}'(u) \ln \left[1 + e^{-\epsilon(u)}\right]$$

- In the leading order
 - In the limit $L\tilde{e}(u) \gg 1 \rightarrow \epsilon(u) \approx L\tilde{e}(u) + \ln S(u_1, u) + \ln S(u_2, u)$
 - Bethe-Yang eq. $e^{-\epsilon(u_1)} = -e^{-ip(u_1)L}S(u_2, u_1) = -1$
 - Finite-size correction for energy $E = e(u_1) + e(u_2) - \int \frac{du}{2\pi} \tilde{p}' e^{-L\tilde{e}(u)} \frac{1}{S(u_1, u)S(u_2, u)}$ $= e(u_1) + e(e_2) - \int \frac{dq}{2\pi} e^{-L\tilde{e}(q)}S(u, u_1)S(u, u_2), \quad q \equiv \tilde{p}(u)$

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• In the next order : keeping an exponentially small

$$\epsilon(u) = L\tilde{e}(u) + \ln S(u_1, u) + \ln S(u_2, u) - \int \frac{du'}{2\pi} K(u', u) \ln \left[1 + e^{-L\tilde{e}(u')}\right]$$

- Impose the constraint $1 + e^{-\epsilon(u_i)} = 0$

$$0 = \underbrace{\log\{e^{iLp_1}S(u_2, u_1)\}}_{BY_1} + \underbrace{\int \frac{du}{2\pi i} (\partial_u S(u, u_1))S(u, u_2)e^{-L\tilde{e}(u)}}_{\Phi_1}$$

$$0 = \underbrace{\log\{e^{iLp_2}S(u_1, u_2)\}}_{BY_2} + \underbrace{\int \frac{du}{2\pi i}S(u, u_1)(\partial_u S(u, u_2))e^{-L\tilde{e}(u)}}_{\Phi_2}$$

– Energy

$$E = e(u_1) + e(u_2) + e'(p_1)\delta p_1 + e'(p_2)\delta p_2 - \int \frac{dq}{2\pi} e^{-L\tilde{e}S(u,u_1)S(u,u_2)}$$

with

$$\frac{\partial BY_1}{\partial p_1} \delta p_1 + \frac{\partial BY_1}{\partial p_2} \delta p_2 + \Phi_1 = 0$$

$$\frac{\partial BY_2}{\partial p_1} \delta p_1 + \frac{\partial BY_2}{\partial p_2} \delta p_2 + \Phi_2 = 0$$

Wrapping correction for 4-loop Konishi

- $\delta \Delta = \Delta_{\text{Pert.}} \Delta_{\text{BAE}} = (324 + 864\zeta(3) 1440\zeta(5))g^8 + \mathcal{O}(g^{10})$ Bajnok, Janik (2008)
- Luscher formula : (*L*=4) [µterm vanishes]

$$E(L) = \sum_{k=1,2} e_a(p_k) - \int_{-\infty}^{\infty} \frac{dq}{2\pi} \sum_{a_1,a_2} (-1)^F \left[S_{a_1a}^{a_2a}(q,p_1) S_{a_2a}^{a_1a}(q,p_2) \right] e^{-L\tilde{e}_{a_1}(q)}$$

- Exponential factor using the mirror dispersion relation

$$\tilde{e}_n(\tilde{p}) = 2\sinh^{-1}\left(\frac{1}{4g}\sqrt{\tilde{p}^2 + n^2}\right) : e^{-2L\sinh^{-1}\frac{\sqrt{n^2 + q^2}}{4g}} \to \frac{4^L g^{2L}}{(n^2 + q^2)^L} \sim \mathcal{O}(g^8)$$

- All the bound states contribute to the same order and one needs the matrix element and dressing factor for these in the mirror space (sl(2) grading)
- After some algebras, the integrand becomes

$$-\int_{-\infty}^{\infty} \frac{dq}{2\pi} \frac{147456Q^2(3q^3+3Q^2-4)^2}{(q^2+Q^2)^4(9q^4+6[3(Q-2)Q+2]q^2+[3(Q-2)Q+4]^2)} \frac{1}{9q^4+6[3(Q+2)Q+2]q^2+[3(Q+2)Q+4]^2}$$

- Residue integrals

$$\sum_{Q=1}^{\infty} \left\{ -\frac{\operatorname{num}(Q)}{(9Q^4 - 3Q^2 + 1)^4 (27Q^6 - 27Q^4 + 36Q^2 + 16)} + \frac{864}{Q^3} - \frac{1140}{Q^5} \right\}$$

$$\operatorname{num}(Q) = 7776Q(19683Q^{18} - 78732Q^{16} + 150903Q^{14} - 134865Q^{12} + 1458Q^{10} + 48357Q^8 - 13311Q^6 - 1053Q^4 + 369Q^2 - 10)$$

$$\delta E = 324 + 864\zeta(3) - 1140\zeta(5)$$

Classical string with finite J

Janik, Lukowski (2007) Energe correction for a single Giant Magnon $J \gg g \gg 1$ •

$$\delta E \approx -16g \sin^3 \frac{p}{2} \exp\left[-\left(\frac{J}{2g \sin \frac{p}{2}}+2\right)\right] + \dots$$

In this limit, the leading contribution comes from μ term ۲

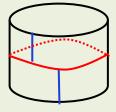
I term Luscher formula

$$\delta E \approx -i \left[1 - \frac{e'(p)}{e'(q^*)} \right] \cdot \operatorname{res}_{q=q^*} \sum_{b} S^{ba}_{ba}(q,p) \cdot e^{-iLq^*}$$

- Leading contribution from elementary GM
 Pole arises at q^{*} = ⁻ⁱ/_{2g sin p/2}
- Using AFS dressing factor $\sigma^2(q^*, p) = -2g^2 \sin^4 \frac{p}{2} e^{-ip}$
- Combining together

$$\delta E_{\text{Luscher}} \approx -16g \sin^3 \frac{p}{2} e^{-\frac{J}{2g \sin \frac{p}{2}}}$$

winter School on Strings. 5th Asian **Particles and Cosmology**



Y-system and Hirota equation

Gromov, Kazakov, Vieira (2009) Hirota eq. for T(ransfer matrix)-system • $Y_{a,s}^+ Y_{a,s}^- = \frac{(1+Y_{a,s+1})(1+Y_{a,s-1})}{(1+Y_{a+1,s}^{-1})(1+Y_{a+1,s}^{-1})}$ – Y-system - Can be satisfied if $Y_{a,s} = \frac{T_{a,s+1}T_{a,s-1}}{T_{a+1,s}T_{a-1,s}}$ with $T_{a,s}^+T_{a,s}^- = T_{a+1,s}T_{a-1,s} + T_{a,s+1}T_{a,s-1}$ $E(L) = \sum_{i} e_1(u_{4j}) - \sum_{\alpha=1}^{\infty} \int \frac{du}{2\pi} \, \tilde{p}'_a \, \ln\left(1 + Y_{a,0}(u)\right)$ IR limit $L\tilde{e}_n(u) \gg 1$ • $Y_{a,0} \approx e^{-L\tilde{e}_a(u)} = \left(\frac{x^{[-a]}}{x^{[a]}}\right)^L \ll 1, \quad Y_{a,s\neq 0} \to \text{ const.}; \quad x^{[k]}(u) \equiv x(u+ik/2)$ $Y_{a,0}^{+}Y_{a,0}^{-} = \frac{(1+Y_{a,1})(1+Y_{a,-1})}{(1+Y_{a+1,0}^{-1})(1+Y_{a-1,0}^{-1})} \approx \frac{(1+Y_{a,1})(1+Y_{a,-1})}{Y_{a+1,0}^{-1}Y_{a-1,0}^{-1}} \rightarrow \frac{Y_{a,0}^{+}Y_{a,0}^{-}}{Y_{a+1,0}Y_{a-1,0}} = (1+Y_{a,1})(1+Y_{a,-1}) = \frac{T_{a,1}^{+}T_{a,1}^{-}}{T_{a+1,1}T_{a-1,1}} \frac{T_{a,-1}^{+}T_{a,-1}^{-}}{T_{a+1,-1}T_{a-1,-1}}$ $Y_{a,0} = T_{a,1}T_{a,-1} \left(\frac{x^{[-a]}}{x^{[a]}}\right)^L \cdot \frac{\phi^{[-a]}}{\phi^{[a]}}$

- Find $T_{a,\pm 1}$, ϕ
 - $T_{1,1}$ from eigenvalue of transfer matrix

$$T_{1,1} = \frac{R^{-(+)}}{R^{-(-)}} \left[\frac{Q_2^{[-2]}Q_3^+}{Q_2 Q_3^-} - \frac{R^{-(-)}Q_3^+}{R^{-(+)}Q_3^-} + \frac{Q_2^{[2]}Q_1^-}{Q_2 Q_1^+} - \frac{B^{+(+)}Q_1^-}{B^{+(-)}Q_1^+} \right]$$

$$Q_l(u) = \prod_{j=1}^{K_l} (u - u + lj), \ R_l^{(\pm)}(u) = \prod_{j=1}^{K_l} \frac{x(u) - x_{lj}^+}{(x_{lj}^+)^{1/2}}, \ B_l^{(\pm)}(u) = \prod_{j=1}^{K_l} \frac{1/x(u) - x_{lj}^+}{(x_{lj}^+)^{1/2}}$$

- From the constraint equation $Y_{1,0}(u_{4j}) = -1$ which should be asymp. BAE,

$$\frac{\phi^{-}}{\phi^{+}} = \sigma^{2} \frac{B^{+(+)}R^{-(-)}}{B^{-(-)}R^{+(+)}} \frac{B_{1}^{+}B_{3}^{-}}{B_{1}^{-}B_{3}^{+}} \frac{B_{7}^{+}B_{5}^{-}}{B_{7}^{-}B_{5}^{+}}$$

• Generating function for $T_{a,\pm 1}$: $D = e^{-i\partial_u}$

$$\mathcal{W} = \left[1 - \frac{B^{+(+)}Q_{1}^{-}R^{-(+)}}{B^{+(-)}Q_{1}^{+}R^{-(-)}}D\right] \left[1 - \frac{Q_{2}^{[2]}Q_{1}^{-}R^{-(+)}}{Q_{2}Q_{1}^{+}R^{-(-)}}D\right]^{-1} \left[1 - \frac{Q_{2}^{[-2]}Q_{3}^{+}R^{-(+)}}{Q_{2}Q_{3}^{-}R^{-(-)}}D\right]^{-1} \left[1 - \frac{Q_{3}^{+}}{Q_{3}^{-}}D\right]^{-1} \left[1 - \frac$$

• Gives exactly the same result as TBA Luscher formula

Topics not covered

- AdS₄/CFT₃ duality
 - Type IIA strings on CP3 & ABJM model
- Open string attached on Giant graviton
 - Related to boundary integrability
- With less supersymmetry
 - Beta deformed theory
- Higher-point correlation functions
- Space-time scattering amplitudes